University of California at San Diego - Department of Physics - Prof. John McGreevy

# Physics 217 Fall 2018 Assignment 9 ('Final Exam') 

Due 12:30pm, Wednesday, December 12, 2018

1. Brain-warmer. Explain why the Wilson-Fisher fixed point in $2<d<4$ has only two relevant operators, which may be associated with $\phi$ and $\phi^{2}$. What happened to $\phi^{3}$ ? (For example, this was important in our discussion of scaling, where we were able to relate the whole zoo of greek letters (critical exponents) to only two numbers $y_{t}, y_{h}$, the scaling exponents for the two relevant operators, one of which breaks the symmetry.)

## 2. Rotation invariance as an emergent symmetry.

Give an RG analysis which explains why the critical behavior of lattice magnets (which do not have continuous spatial rotation symmetry) can be described by rotationinvariant field theories.

To be more precise about what I am asking: consider a hypercubic lattice, and a magnet with an $\mathrm{O}(n)$ symmetry, so that there is an $n$-component order parameter. As in the problem on HW 8, analyze what perturbations of the rotation-symmetric action preserve lattice rotations but not continuous rotations, and decide what are their scaling dimensions at the Wilson-Fisher fixed point.
[I am re-posting this important problem from last homework, since there was universal confusion about the fact that I was asking about spatial rotation symmetry, as opposed to rotations in spin space.

Please note that a priori the spin rotation symmetry

$$
S(r)^{a} \rightarrow R^{a b} S^{b}(r), \quad R \in \mathrm{O}(n)
$$

is completely independent of the spatial rotation symmetry

$$
S^{a}\left(r^{i}\right) \rightarrow S^{a}\left(\Lambda^{i j} r^{j}\right), \Lambda \in \mathrm{O}(d)
$$

Spin-orbit couplings break the product of these two groups down to a diagonal subgroup; such couplings are present in Lorentz-invariant field theories, and in lattice models involving large-Z atoms, but are often negligible.] No need to repeat if you answered this question last time.]
3. OPE. Consider the Gaussian fixed point with $\mathrm{O}(n)$ symmetry. Compute the OPE coefficients for the operators $\mathcal{O}_{2} \equiv: \phi_{a} \phi_{a}:, \mathcal{O}_{4} \equiv:\left(\phi_{a} \phi_{a}\right)^{2}$ :, and the identity operator (here $a=1 . . n$ and the repeated index is summed). Use this information to compute the beta function, find the Wilson-Fisher fixed point and the correlation length critical exponent $\nu$ there.

## 4. A proof of the Mermin-Wagner-Hohenberg-Coleman theorem.

(a) Bogoliubov-Schwartz inequality. Convince yourself that

$$
\begin{equation*}
\left\langle A A^{\star}\right\rangle\left\langle B B^{\star}\right\rangle \geq\left|\left\langle A B^{\star}\right\rangle\right|^{2} \tag{1}
\end{equation*}
$$

where $A, B$ are anything, and $\langle\ldots\rangle$ means some statistical average.
Now consider a microscopic realization of an XY model in $d=2$ on a lattice with $N$ total sites. At each site we place a 2-component unit-normalized spin $\vec{S}_{i}=\left(\cos \phi_{i}, \sin \phi_{i}\right)$. The Hamiltonian is

$$
-H=\sum_{\langle i j\rangle} J \cos \left(\phi_{i}-\phi_{j}\right)+h \sum_{i} \cos \phi_{i} .
$$

The magnetization is $m=\left\langle\cos \phi_{i}\right\rangle$. The claim is that for $d \leq 2, \lim _{h \rightarrow 0} m$ must vanish in the thermodynamic limit - no spontaneous breaking of the continuous symmetry $\phi_{i} \rightarrow \phi_{i}+\alpha$.
To apply (1), we will (with cold-blooded foresight) choose

$$
A \equiv \frac{1}{N} \sum_{j} e^{-\mathbf{i} q \cdot r_{j}} \sin \phi_{j}, \quad B \equiv \frac{1}{N} \sum_{k} e^{-\mathbf{i} q \cdot r_{k}} \partial_{\phi_{k}} H .
$$

(b) Show that

$$
\sum_{q}\left\langle A A^{\star}\right\rangle=\frac{1}{N} \sum_{j}\left\langle\sin ^{2} \phi_{j}\right\rangle \leq 1
$$

(c) Show that

$$
\left\langle A B^{\star}\right\rangle=\frac{T m}{N}
$$

Here's a hint:

$$
0=\frac{1}{Z} \int_{0}^{2 \pi} \prod_{i} d \phi_{i} \partial_{\phi_{k}}\left(e^{-H / T} \sin \phi_{j}\right)
$$

(More generally $0=\int_{0}^{2 \pi} \prod_{i} d \phi_{i} \partial_{\phi_{k}}(f(\phi))$ as long as $f(\phi)$ is a periodic function, $f(\phi)=f(\phi+2 \pi)$. This kind of relation is sometimes called a Ward identity.)
(d) Show that

$$
\left\langle B B^{\star}\right\rangle=\frac{T}{N^{2}} \sum_{i j} e^{-\mathbf{i} q\left(r_{i}-r_{j}\right)}\left\langle\partial_{\phi_{i}} \partial_{\phi_{j}} H\right\rangle .
$$

Hint: use the same trick as in the previous part.
(e) Show that (I have in mind a hypercubic lattice with coordination number $z=2 d$ )

$$
\left\langle B B^{\star}\right\rangle \leq \frac{T}{N}\left(h+J\left(z-2 \sum_{\mu=1}^{d} \cos \left(q_{\mu} a\right)\right)\right) \leq \frac{T}{N}\left(h+J \vec{q}^{2}\right)
$$

(f) Conclude that

$$
1 \geq \sum_{q}\left\langle A A^{\star}\right\rangle \geq \frac{T m^{2}}{N^{2}} \sum_{q} \frac{1}{h+J q^{2}}
$$

Take the thermodynamic limit, and argue that the resulting inequality requires

$$
\lim _{h \rightarrow 0} m=0 \text { for } d \leq 2
$$

(g) [optional bonus question] Generalize the argument to the $\mathrm{O}(n)$ model.
5. Long-range interactions and the lower critical dimension. Consider perturbing an $\mathrm{O}(n)$ model by long-range interaction of the form

$$
\Delta H=g \int \mathrm{~d}^{d} q \Phi_{a}(q)|q|^{r} \Phi_{a}(-q)
$$

(a) [optional] What does $\Delta H$ look like in position space? What does

$$
\int d^{d} x d^{d} y \sum_{a}^{n} \frac{\left(\Phi_{a}(x)-\Phi_{a}(y)\right)^{2}}{|x-y|^{d+r}}
$$

look like in momentum space?
(b) Find the lower critical dimension as a function of $r$.

## 6. Perturbative RG for worldsheet (Edwards-Flory) description of SAWs.

 In this problem we make quantitative the analogy unrestricted RW:SAW::gaussian fixed point:WF fixed point.Parametrize a continuous-time random walk in $d$ dimensions by a trajectory $\vec{r}(t)$. Consider the Edwards hamiltonian

$$
H[\vec{r}]=\frac{K}{2} \int_{0}^{L} d s\left(\frac{d \vec{r}}{d s}\right)^{2}+\frac{u}{2} \int_{\left|s_{1}-s_{2}\right|>a} d s_{1} d s_{2} \delta^{d}\left[\vec{r}\left(s_{1}\right)-\vec{r}\left(s_{2}\right)\right]
$$

with a self-avoidance coupling $u>0$, a short-distance cutoff $a$, and an IR cutoff $L$. We would like to understand the large- $L$ scaling of the polymer size, $R$,

$$
\left.R^{2}(L) \equiv\langle | \vec{r}(L)-\left.\vec{r}(0)\right|^{2}\right\rangle \sim L^{2 \nu}
$$

(a) Consider the probability density for two points a distance $\left|s_{1}-s_{2}\right|$ along the chain to be separated in space by a displacement $\vec{x}$,

$$
P\left(\vec{x} ; s_{1}-s_{2}\right)=\left\langle\delta^{d}\left[\vec{r}\left(s_{1}\right)-\vec{r}\left(s_{2}\right)-\vec{x}\right]\right\rangle .
$$

Show that the polymer size $R$ can be obtained from its fourier transform $\tilde{P}(\vec{q} ; s)$ by the relation

$$
R^{2}(L)=-\left.\nabla_{q}^{2} \tilde{P}(\vec{q} ; L)\right|_{q=0} .
$$

(So far this does not involve a choice of hamiltonian.)
(b) For the free case $u=0$, compute the equilibrium polymer size $R_{0}(L)$ in terms of $d, L, K$. It may be helpful to derive a relation of the form

$$
\left\langle e^{\mathrm{i} \int_{0}^{L} d s \vec{k}(s) \cdot \vec{r}(s)}\right\rangle_{0}=e^{\frac{1}{2 K} \int_{0}^{L} d s d s^{\prime} \vec{k}(s) \cdot \vec{k}\left(s^{\prime}\right) G\left(s-s^{\prime}\right)}
$$

(c) Develop an expansion of $\tilde{P}(\vec{q} ; L)$ to first order in $u$, using the cumulant expansion as in $\S 6.6$ of the lecture notes. You should find an expression of the form $R^{2}(L)=$ $R_{0}^{2}(L)\left(1+\delta R_{1}^{2}(L)+\mathcal{O}\left(u^{2}\right)\right)$ with

$$
\delta R_{1}^{2}(L)=\frac{u}{L}\left(\frac{K}{2 \pi}\right)^{d / 2} \int_{0}^{L} d s_{1} \int_{s_{1}+a}^{L} d s_{2} \frac{A^{2}\left(s_{1}, s_{2} ; L\right)}{\left|s_{1}-s_{2}\right|^{\frac{d-2}{2}}} .
$$

(d) Show that the integrals in the previous part diverge as $a / L \rightarrow 0$ below a certain dimension $d_{c}$. More precisely, by changing variables to $s=s_{1}-s_{2}$ and $\bar{s}=$ $\left(s_{1}+s_{2}\right) / 2$ (and ignoring stuff at the upper limit of integration, as appropriate for $L \gg a$ ) show that

$$
\delta R_{1}^{2}(L) \simeq u\left(\frac{K}{2 \pi}\right)^{d / 2} \int_{a}^{L} d s s^{\frac{\epsilon}{2}-1}
$$

with $\epsilon=d_{c}-d$.
(e) How does $\vec{r}$ scale with $s \mapsto b s$ if we demand that the free hamiltonian $(u=0)$ is a fixed point? What is $\nu$ at the free fixed point?
(f) Find $d_{c}$ by power counting.
(g) We wish to integrate out the short distance fluctuations with wavelengths between $a$ and $b a$, to find an effective Hamiltonian governing the remaining degrees of freedom:

$$
\tilde{H}[\vec{r}]=\frac{\tilde{K}}{2} \int_{0}^{L} d s\left(\frac{d \vec{r}}{d s}\right)^{2}+\frac{\tilde{u}}{2} \int_{\left|s_{1}-s_{2}\right|>b a} d s_{1} d s_{2} \delta^{d}\left[\vec{r}\left(s_{1}\right)-\vec{r}\left(s_{2}\right)\right]
$$

Using the first-order-in- $u$ result for $\delta R$ above, show that for small $\epsilon$ and small $\log b$, the coarse-grained 'stiffness' parameter is of the form

$$
\tilde{K}=K(1-\bar{v} \log b)
$$

and find $\bar{v}$.
(h) A similar calculation yields the running of the interaction strength of the form $\tilde{u}=u(1-2 \bar{v} \log b)$. Do the rescaling step of the RG procedure, redefining $s$ by a factor of $b=1+\ell+\mathcal{O}\left(\ell^{2}\right)$ and rescaling the $\vec{r} \rightarrow Z(b) \vec{r}$ to put the Hamiltonian back in the original form with the original cutoff and renormalized parameters $K^{\prime}, u^{\prime}$.
(i) Find the beta functions for $K(\ell)$ and $u(\ell)$. Find $\nu$ to first order in $\epsilon$ at the nontrivial fixed point.

## 7. Self-avoiding membranes?

[Optional, slightly open-ended.] Consider redoing the Edwards-Flory analysis for a theory of membranes. The fields are now $\vec{r}\left(\sigma_{1}, \sigma_{2}, \cdots, \sigma_{D}\right)$, vectors parametrizing the embedding of a $D$-dimensional object into $\mathbb{R}^{d}$. We might consider perturbing the Gaussian action

$$
S_{0}[r]=\int d^{D} \sigma \sum_{\alpha=1}^{D}\left(\partial_{\sigma_{\alpha}} \vec{r}\right)^{2}
$$

by a self-avoidance term

$$
S_{u}[r]=\int d^{D} \sigma \int d^{D} \sigma^{\prime} \delta^{d}\left(\vec{r}\left(\sigma-\sigma^{\prime}\right)\right)
$$

For various $d$ and $D$, what does the Flory argument predict for the scaling exponent of the brane size with the linear size $L$ of the base space? For which values is the excluded-volume term relevant?
Are there other terms we should consider in the action?
Try to resist googling before you think about this question.

