# Symmetry Breaking and Superfluid Phases of Liquid <sup>3</sup>He from Laudau-Ginzburg Theory

Xiang Li<sup>1</sup>

<sup>1</sup>Department of Physics, University of California at San Diego, La Jolla, CA 92093

In this paper, we will briefly introduce the application of Landau-Ginzburg (L-G) theory and symmetry breaking in superfluid phases of liquid <sup>3</sup>He. From the solutions of L-G equation for B and A phase, we can identify the symmetry breaking in these two phases. Then we will briefly introduce the physical consequence of the broken relative symmetries in these two phases.

### INTRODUCTION

# Superfluidity of <sup>3</sup>He

A superfluid is a state of matter in which the matter behaves as a fluid with vanishing viscosity. The most famous example is <sup>4</sup>He, an isotope of He. Due to large zero-point motion of the atoms in <sup>4</sup>He, it still in a phase of liquid at absolute zero temperature. Since <sup>4</sup>He has zero nucleus spin, at a temperature of few K, the ensemble of the <sup>4</sup>He atoms forms a quantum Bose liquid. When  $T < T_c = 2.2$  K, <sup>4</sup>He Bose-condenses and the correlated motion of the Bose condensate has no dissipation, therefore, it exhibits properties of superfluidity.

<sup>3</sup>He also doesn't solidify at absolute zero temperature. It also can become a superfluid. However, <sup>3</sup>He has 1/2 nucleus spin, therefore, it becomes a quantum Fermi liquid at few K. In order to behave as a superfluid, <sup>3</sup>He atoms must first pair up and form Cooper pairs, which have integer spin. Then the ensemble of such bosonic Cooper pairs will Bose-condenses and results in superfluidity at low temperature.

Not only the complicity in the formation of Cooper pair, there is a more important and interesting consequence of the non-zero nucleus spin, namely, non-trivial internal structure, followed by additional degrees of freedom on low energy scale  $E \ll T_c$ . The Cooper pair has non-zero spin S = 1 and non-zero angular momentum of the orbital motion of the Cooper pair L = 1. The strong correlation of the spin and angular momenta of the Bose condensate results in magnetic and liquid-crystal-like ordering, which means not only the gauge symmetry, the  $SO(3)_S$  for spin and  $SO(3)_L$  for orbital rotation symmetries are also spontaneously broken in <sup>3</sup>He.

#### Superfluid Phase of <sup>3</sup>He

Since S = 1 and L = 1 are both three dimensional representation of  $SO(3)_S$  and  $SO(3)_L$  group respectively. Therefore the parameter space is  $\mathbf{3} \otimes \mathbf{3}$ , which is nine dimensional. Such large degrees of freedom enrich the classification of the superfluid phases of <sup>3</sup>He. Three of them are under extensive investigation[1]:



FIG. 1: Phase diagram for <sup>3</sup>He at the lowest magnetic field[2].

- The quasi-isotropic phase <sup>3</sup>He-B. The total angular momentum of the Cooper pair is zero, J = 0, where  $\vec{J} = \vec{L} + \vec{S}$ .
- The anisotropic phase <sup>3</sup>He-A. In this phase, the Cooper pair in this state has non-zero projection of the orbital angular momentum  $m_l = 1$  on some axis  $\hat{l}$ , where  $\hat{l}$  is not only an axis of spontaneous orbital anisotropy of this liquid, but also represents the direction of its spontaneous ferromagnetic moment. The projection of spin angular momentum is zero  $m_s = 0$ .
- There is another phase, namely, the <sup>3</sup>He-A<sub>1</sub> phase, which only exists if a magnetic field is present. Being different from the <sup>3</sup>He-A phase, it has  $m_l = 1$ and also non-vanishing projection of spin angular momentum  $m_s = 1$ . The quantization axes for spin and orbital angular momentum are not necessarily parallel.

In this next section, we will mostly focus on B and A phase.

### SYMMETRY BREAKING IN <sup>3</sup>HE SUPERFLUID

If we only consider the interactions involved in the formation of condensed states, then the free energy is invariant under the following transformation

$$G = SO(3)_L \times SO(3)_S \times U(1)_\phi, \qquad (0.1)$$

where the two SO(3) groups describe rotations in spin space and orbital space respectively, and  $U(1)_{\phi}$  describes gauge transformation.

Symmetry breaking will result in different phases [3]:

- $G \supset SO(3)_L \times SO(3)_S \rightarrow SO(3)_{L+S}$ , where  $SO(3)_{L+S}$  describes some linear combinations of transformations from  $SO(3)_L$  and  $SO(3)_S$  groups. This symmetry breaking results in B phase.
- $G \supset SO(3)_L \times U(1)_{\phi} \to U(1)_{L_z+\phi}$ , which results in A phase.

In this section, we will first briefly review the Landau-Ginzburg theory and then introduce these two phases in details.

#### Laudau-Ginzburg Theory for Liquid <sup>3</sup>He

The wave function of Cooper pair is

$$\psi = \sum_{m_s, m_l} \mathcal{A}_{m_s, m_l} \psi_{m_s, m_l}, \qquad (0.2)$$

where  $m_s, m_l = +, 0, -1$  corresponds to the magnetic quantum number for spin and orbital angular momentum  $M_S, M_L = 1, 0, -1$  respectively.

We can write the L-G functional in terms of a  $3 \times 3$  matrix  $A_{\alpha i}$ , defined as  $A_{\alpha i} = \sum_{m_s,m_l} \mathcal{A}_{m_s,m_l} \lambda_{\alpha}^{m_s} \lambda_i^{m_l}$ , where  $\lambda_{\alpha}^0 = \hat{z}_{\alpha}, \lambda_{\alpha}^{\pm} = (\hat{x}_{\alpha \pm i\hat{y}_{\alpha}})/\sqrt{2}$ . Then The behavior near the critical temperature  $T_c$  is described by the quadratic term in the L-G functional  $F_{LG} \supset (T - T_c)A_{\alpha i}^*A_{\alpha i}$ , and the equilibrium order parameter  $A_{\alpha i}^0$  can be found by solving G-L equations

$$\frac{\delta F_{\text{bulk}}^{LG}[A_{\alpha i}]}{\delta A_{\alpha i}} = 0, \qquad (0.3)$$

where  $F_{\text{bulk}}^{GL} \subset F_{LG}$  is the bulk condensation energy term in the L-G free energy functional[4].

By this method, we can find the order parameter for B and A phase:

• When the pressure below the so called policritical point, the solution of the L-G equation is

$$A^0_{\alpha i} = \Delta_B(T, P)\delta_{\alpha i}.\tag{0.4}$$

In terms of the amplitude, we have

$$\mathcal{A}_{+,-} = \mathcal{A}_{-,+} = \mathcal{A}_{0,0} = \Delta_B(T,P).$$
 (0.5)

Therefore, the projection of the total angular momentum  $m_j = m_s + m_l = 0$ , and the state is isotropic, which indicates J = 0.

• When the pressure above the policritical point, we obtain the A phase solution [1]:

$$A^0_{\alpha i} = \Delta_A(T, P)\hat{z}_\alpha(\hat{x}_i + i\hat{y}_i). \tag{0.6}$$

In term of the amplitude, we have only one non-zero order parameter:

$$\mathcal{A}_{0,+} = \sqrt{2}\Delta_A(T,P), \qquad (0.7)$$

from which we can tell the state has  $m_s = 0$  and  $m_l = 1$ . The quantization axes for spin and orbital angular momentum are both along the same axis.

## Manifold of the Degenerate State and Residue Symmetry

The transformation of the total group  $g \in G$  can be described explicitly as

$$(gA^0)_{\alpha i} = e^{i\phi} R^S_{\alpha\beta} A_{\beta j} R^L_{ji}, \qquad (0.8)$$

where  $R^S, R^L$  are the matrices of rotations  $SO(3)_S, SO(3)_L$  in the orbital and spin spaces respectively,  $\phi$  is the parameter of the global gauge transformation U(1). Applying this to different state Eq.(0.4) and Eq.(0.6), we obtain [1]

B phase: 
$$A^0_{\alpha i} = \Delta_B(T, P) e^{i\phi} R_{\alpha i}$$
 (0.9)

A phase: 
$$A^{0}_{\alpha i} = \Delta_A(T, P) \hat{s}_{\alpha} (\hat{e}^{(x)}_i + \hat{e}^{(y)}_i), \quad (0.10)$$

where  $\hat{s}_{\alpha}$  is the spin axis and  $\hat{e}^{(x)} \times \hat{e}^{(y)} = \hat{l}$  is the deribein.  $\hat{l}$  is the orbital axis.

From these two general forms, we can obtain the manifolds and residue symmetries for the degenerate states:

• B phase: B phase is described by 1)  $\phi$  parameter, and 2) the orthogonal real matrix  $R_{\alpha i}$ . The space corresponding to U(1) is just  $S^1$ . As for  $R_{\alpha i}$ , notice it's from  $R_{\alpha i} = R^S_{\alpha\beta} \delta_{\beta j} (R^L)^{-1}_{ij}$  and  $\delta_{\beta j}$  is from the initial solution Eq.(0.4),  $R_{\alpha i}$  describes the relative rotation of spin and orbital frames. When this apply to the initial state with J = 0, the total angular momentum of resulting state is no longer zero. Therefore, the manifold of the equilibrium degenerate states  $R_B$  is [1]

$$R_B = S^1 \times SO(3)_{rel}, \quad dim(R_B) = 4.$$
 (0.11)

where  $S^1$  is described by the phase  $\phi$  and  $SO(3)_{rel}$  is for the relative spin-to-orbit rotations  $R_{\alpha i}$ .

Because  $R_{rel} = R^S (R^L)^{-1}$ , it's invariant under a spin rotation  $R^S$  accompanied by an equal rotation  $R^L$  of the orbital space. Therefore, the residue

symmetry is  $H = SO(3)_J$ , the group of combined rotations. As a result, the manifold  $R_B$  is just the coset space  $R_B = G/H$ .

- A phase: The general form of the solution Eq.(0.10) has the following symmetries:
  - 1. Spin rotation  $SO(2)_S$  about axis  $\hat{s}$ ;
  - 2.  $U(1)_c$ : U(1) gauge transformation  $e^{i\phi}$ , accompanied by orbital rotation of the dreibein  $\hat{e}^{(x)}, e^{(y)}$  and  $\hat{l}$  about the axis  $\hat{l}$  by the same angle  $\phi$ , since under such a rotation  $\hat{e}^{(x)} + ie^{(y)} \rightarrow e^{-i\phi}(\hat{e}^{(x)} + ie^{(y)})$ , where the factor  $e^{-i\phi}$  is cancelled out by the U(1) transformation;
  - 3. A discrete combined symmetry  $Z_2^c$ , the spin rotation of  $\hat{d}$  by angle  $\pi$  about a perpendicular axis, accompanied by gauge transformation  $e^{i\pi}$ . The former flips the sign which is further cancelled out by the latter  $e^{i\pi}$ .

Therefore, the residue symmetry is  $H = SO(2)_S \times U(1)_c \times Z_2^c$ , and the manifold of the equilibrium degenerate state is [1]

$$R_A = G/H = S^1 \times SO(3)_{rel}/Z_2. \tag{0.12}$$

Be aware that here the  $SO(3)_{rel}$  describes the rotations about the axis  $\hat{l} = \hat{e}^{(x)} \times \hat{e}^{(y)}$  relative to gauge transformations, which is different from the one in B phase.

#### PHYSICAL RESULTS FROM THE BROKEN RELATIVE SYMMETRIES

Notice that both B and A phase have broken relative symmetries, summarized as follows:

- B phase: Relative spin-orbital rotation: Only the relative rotation of spin and orbital frame will change the degenerate state.
- A phase: Relative gauge-orbital rotation: Only the gauge transformation counted from the orbital rotations around  $\hat{l}$  will change the degenerate state.

Such broken relative symmetries have very interesting physical consequence.

For B phase, the spin or orbital space are both isotropic. However, the broken spin-orbital rotational symmetry results in relative anisotropy of the magnetic and orbital properties in an isotropic liquid. If an anisotropy axis  $\hat{n}_l$  of orbital properties is induced by external conditions, then an anisotropy axis relative to the orbital axis  $\hat{n}_s = R_{\alpha i} \hat{n}_l$  of the magnetic properties will simultaneously appear.

For A phase, due to the breaking gauge-orbital rotational symmetry, all the gauge invariant quantities in A phase will obtain an anisotropy axis  $\hat{l}$ . They are invariant under rotations around such an axis  $\hat{l}$ .

#### SUMMARY

In this paper, we briefly introduced the symmetry breaking of <sup>3</sup>He and the resulting B and A phase. The new features, broken relative symmetries, generate many interesting physical properties of the superfluid <sup>3</sup>He.

There are also many other important and intriguing aspects in the study of superfluid <sup>3</sup>He. For example, in the topological perspective, topological defects are under extensive investigation; <sup>3</sup>He vortices also have lots of fascinating content to be studied. In addition, superfluid phases can also be studied with the help of gravity theory, which I found very attractive.

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