

# A Very Brief Review on $SL(2, \mathbb{R})$ and its Applications

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In this short note, we give a very<sup>N</sup> brief overview of  $SL(2, \mathbb{R})$  and its application. Dachuan said, for sufficiently large  $N$ , very<sup>N</sup> fuse into the vacuum. But since I didn't figure out how large  $N$  should be, as always, I ended up with 3 and a half pages, diagram excluded.

## INTRODUCTION

The Lie algebra  $\mathfrak{sl}(2, \mathbb{R})$  is given by

$$[\Lambda_0, \Lambda_1] = \Lambda_2, \quad [\Lambda_0, \Lambda_2] = -\Lambda_1, \quad [\Lambda_1, \Lambda_2] = -\Lambda_0. \quad (0.1)$$

The simply connected Lie group with the Lie algebra  $\mathfrak{sl}(2, \mathbb{R})$  is denoted by  $\widetilde{SL}(2, \mathbb{R})$  and its has the center

$$\mathcal{Z} = \{e^{2\pi n \Lambda_0} : n \in \mathbb{Z}\}. \quad (0.2)$$

The Casimir operator is given by

$$Q = \Lambda_0^2 - \Lambda_1^2 - \Lambda_2^2. \quad (0.3)$$

## REPRESENTATIONS OF $\mathfrak{sl}(2, \mathbb{R})$

Here we list all the unitary irreducible representation of  $\mathfrak{sl}(2, \mathbb{R})$ . The irreducible representations are labelled by two quantum numbers  $q = \lambda(1 - \lambda) \in \mathbb{R}$ , the eigenvalue of the Casimir operator  $Q$  (where  $\lambda \in \mathbb{R}$  or  $\lambda \in \frac{1}{2} + i\mathbb{R}$ ), and  $e^{-2\pi i \mu}$ , the eigenvalue of the center element  $e^{2\pi \Lambda_0}$  (where  $\mu \in \mathbb{R}/\mathbb{Z}$ ). Here are the following irreducible representations of  $\mathfrak{sl}(2, \mathbb{R})$ :

- Trivial representation  $I$ :  $q = \mu = 0$ .
- Discrete series with lowest weight  $\mathcal{D}_\lambda^+$ :  $\lambda > 0, \mu = \lambda$ .

$$\mathcal{D}_\lambda^+ = \{|\lambda; m\rangle : m = \lambda, \lambda + 1, \lambda + 2, \dots\}. \quad (0.4)$$

- Discrete series with highest weight  $\mathcal{D}_\lambda^-$ :  $\lambda > 0, \mu = -\lambda$ .

$$\mathcal{D}_\lambda^- = \{|\lambda; m\rangle : m = -\lambda, -\lambda - 1, -\lambda - 2, \dots\}. \quad (0.5)$$

- Principal series  $\mathcal{C}_\lambda^\mu$ :  $\lambda \in \frac{1}{2} + i\mathbb{R}$ , where we chosen  $\mu \in (-1/2, 1/2]$ .

$$\mathcal{C}_\lambda^\mu = \{|\lambda, \mu; m\rangle : m = \mu, \mu \pm 1, \mu \pm 2, \dots\}. \quad (0.6)$$

- Complementary series  $\mathcal{E}_\lambda^\mu$ :  $|\mu| < \lambda < 1/2$ , and we have chosen  $\mu \in (-1/2, 1/2]$ .

$$\mathcal{E}_\lambda^\mu = \{|\lambda, \mu; m\rangle : m = \mu, \mu \pm 1, \mu \pm 2, \dots\}. \quad (0.7)$$

## GLOBAL STRUCTURES

In the above section, we list all the unitary irreducible representation constructed from the Lie algebra  $\mathfrak{sl}(2, \mathbb{R})$ . This gives all the irreducible representation of the simply connected Lie group with this Lie algebra, which is denoted by  $\widetilde{SL}(2, \mathbb{R})$ . Lie groups with different global structures are acquired by taking quotient with respect to the center  $\mathcal{Z}$  or a subset of  $\mathcal{Z}$ . Allowed irreps can be found by requiring the set we quotient out acting trivially on the irreps.

For instance,  $PSL(2, \mathbb{R}) = \widetilde{SL}(2, \mathbb{R})/\mathcal{Z}$ . Therefore,  $\mathcal{Z}$  must act trivially on the irreps of  $PSL(2, \mathbb{R})$ . Hence, only irreps with  $e^{-2\pi i \mu} = 1$  are allowed. As another example,  $PSL(2, \mathbb{R}) = SL(2, \mathbb{R})/\{1, -1\}$ . Hence, for  $SL(2, \mathbb{R})$ , the condition is relaxed to  $e^{-2\pi i \mu} = \pm 1$ .

Finally, as a bonus, we describe the allowed irreps of  $G_B = \frac{\widetilde{SL}(2, \mathbb{R}) \times \mathbb{R}}{\mathbb{Z}}$  where we quotient the diagonal  $\mathbb{Z}$  generated by the action

$$(g, \theta) \mapsto (e^{2\pi \Lambda_0} g, \theta + B) \in \widetilde{SL}(2, \mathbb{R}) \times \mathbb{R}, \quad B \in \mathbb{R}. \quad (0.8)$$

Before taking quotient, the irreps of  $\widetilde{SL}(2, \mathbb{R}) \times \mathbb{R}$  are irreps of  $\widetilde{SL}(2, \mathbb{R})$  tensor product the irreps of  $\mathbb{R}$ . The irreps of  $\mathbb{R}$  are given by  $|k\rangle$  where  $k \in \mathbb{R}$ , and  $\theta \in \mathbb{R}$  acts on  $|k\rangle$  as  $e^{ik\theta}|k\rangle$ . Notice that there is no charge quantization on  $k$  because the group is  $\mathbb{R}$  rather than  $U(1)$ . To quotient the diagonal  $\mathbb{Z}$  means the irreps must be invariant under the action  $(e^{2\pi \Lambda_0}, B)$ , which requires

$$e^{-2\pi i \mu} e^{iBk} = 1, \quad (0.9)$$

that is,

$$\mu - \frac{Bk}{2\pi} \in \mathbb{Z}. \quad (0.10)$$

As we will see, an application of this construction is when we want to sum over irreps of  $\widetilde{SL}(2, \mathbb{R})$  with fixed  $e^{-2\pi i \mu}$ , which is in the same spirit as one can gauge  $\mathbb{Z}_N$  center symmetry out of  $SU(N)$  by first extending  $SU(N)$  to  $U(N) = \frac{SU(N) \times U(1)}{\mathbb{Z}_N}$  in [5].

## FOURIER ANALYSIS (OR HOW TO FIND WAVEFUNCTIONS)

To motivate this section, let's consider the a 1-dim particle in QM. A complete basis of wave function is given

by

$$\frac{1}{\sqrt{2\pi}}e^{ipx}, \quad (0.11)$$

and any other wave function can be written as a linear superposition of the above. Notice that if we view the position space  $\mathbb{R}$  as a group, then this is precisely the representation matrix (1-by-1, of course) of the charge  $p$  irrep of  $\mathbb{R}$  up to normalization. This idea naturally generalizes to particles moving on Lie groups. Specifically, consider the action

$$S = \int dt \text{Tr}(\partial_t g)(\partial_t g^{-1}), \quad g \in G, \quad (0.12)$$

then a basis of wave functions are given by the *matrix element functions*  $U_{\alpha,k}^j$ :

$$U_{\alpha,k}^j = \langle j|U_\alpha(g)|k\rangle, \quad (\bar{U}_{\alpha,k}^j(g) = U_{\alpha,k}^j(g^{-1}) = \langle k|U_\alpha(g)|j\rangle^*), \quad (0.13)$$

where  $\alpha$  labels the irreps of  $G$ . Notice that since each matrix element function  $U_{\alpha,k}^j$  carries two indices  $k, j$ , it is naturally to define two actions (i.e., the left action and the right action) of  $G$  on  $U_{\alpha,k}^j$ :

$$L(h) \cdot \bar{U}_{\alpha,j}^k = \bar{U}_{\alpha,n}^k U_{\alpha,j}^n(h), \quad (0.14)$$

$$R(h) \cdot \bar{U}_{\alpha,j}^k = \bar{U}_{\alpha,n}^k(h) \bar{U}_{\alpha,j}^n. \quad (0.15)$$

If you wonder why left action acts from the right and vice versa, this is because we are working with  $\bar{U}$  rather than  $U$ . Anyway, this is consistent with the fact that there are two conserved currents in the above Lagrangian:

$$j_1 = g^{-1}\dot{g}, \quad j_2 = \dot{g}g^{-1}. \quad (0.16)$$

However, there is a caveat. If  $G$  is compact, then  $U_{\alpha,k}^j(g)$  is obviously normalizable; however, if  $G$  is non-compact, it is often  $U_{\alpha,k}^j(g)$  is not normalizable. For instance, for  $G = \mathbb{R}$ ,  $U_\alpha(\theta) = \frac{1}{\sqrt{2\pi}}e^{i\alpha\theta}$  is clearly not normalizable. But it is at least  $\delta$ -normalizable, in the sense that,

$$\langle U_\alpha|U_\beta\rangle \equiv \int_{-\infty}^{\infty} d\theta U_\alpha(\theta)\bar{U}_\beta(\theta) = 2\pi\delta(\alpha - \beta). \quad (0.17)$$

However, some of the wave functions are not even  $\delta$ -normalizable, therefore should be excluded. For  $G = \widehat{SL}(2, \mathbb{R})$ , this is the complementary series  $\mathcal{E}_\lambda^\mu$  and the discrete series  $\mathcal{D}_\lambda^\pm$  with  $\lambda < \frac{1}{2}$ .

Similar to the  $U(1)$  case, these  $\delta$ -normalizable wave functions satisfies the following orthogonality conditions. For discrete series  $\mathcal{D}_\lambda^\pm$  with  $\lambda > \frac{1}{2}$ , we have

$$\langle \bar{U}_{\lambda,\pm(\lambda+j)}^{\pm(\lambda+k)}|\bar{U}_{\lambda',\pm(\lambda'+j')}^{\pm(\lambda'+k')} \rangle = \frac{8\pi^2}{2\lambda - 1}\delta(\lambda - \lambda')\delta_{jj'}\delta_{kk'}, \quad (0.18)$$

where  $\lambda, \lambda' > 1/2$ ,  $j, k, j', k' \in \mathbb{Z}_{\geq 0}$ . For principal series  $\mathcal{C}_q^\mu$ , we have

$$\begin{aligned} & \langle \bar{U}_{\frac{1}{2}+is,\mu+j}^{\mu+k}|\bar{U}_{\frac{1}{2}+is',\mu'+j'}^{\mu'+k'} \rangle \\ &= 4\pi^2 \frac{\cosh(2\pi s) + \cos(2\pi\mu)}{s \sinh(2\pi s)} \delta(s - s')\delta(\mu - \mu')\delta_{jj'}\delta_{kk'}. \end{aligned} \quad (0.19)$$

Here, we stop to introduce the concept of *Plancherel measure*, which is the analog of the normalization of the wave function  $U_k = e^{ikx}$ :

$$\langle U_k|U_{k'}\rangle = 2\pi\delta(k - k'). \quad (0.20)$$

In this case, the identity operator  $I$  is given by

$$I = (2\pi)^{-1} \int |\bar{U}_k\rangle\langle \bar{U}_k|dk. \quad (0.21)$$

Hence the Plancherel measure is  $(2\pi)^{-1}dk$ , and is computed from  $\langle U_k|U_{k'}\rangle$ . In general, consider

$$\langle \bar{U}_{\alpha,j}^k|\bar{U}_{\beta,m}^l \rangle = C_\alpha\delta(\alpha - \beta)\delta_{jm}\delta^{kl}, \quad (0.22)$$

then  $C_\alpha^{-1}d\alpha$  is the Plancherel measure. For discrete series  $\mathcal{D}_\lambda^\pm$ , the Plancherel measure is

$$(2\pi)^{-2}(\lambda - \frac{1}{2})d\lambda, \quad \lambda > \frac{1}{2}. \quad (0.23)$$

For principal series  $\mathcal{C}_\lambda^{\mu=\frac{1}{2}+is}$ , the Plancherel measure is

$$(2\pi)^{-2} \frac{s \sinh(2\pi s)}{\cosh(2\pi s) + \cos(2\pi\mu)} dsd\mu. \quad (0.24)$$

## APPLICATION I: FIRST ORDER FORMALISM OF JT GRAVITY

In this section, we briefly show how to see the partition function of JT gravity formulated using  $SL(2, \mathbb{R})$  gauge theory on a disk  $D^2$  is the same as the partition function of Schwarzian theory on the boundary  $\partial D^2 = S^1$  following [2].

The Schwarzian theory is given by the action

$$S_{\text{Schw}}[f] = -C \int_0^\beta du \left\{ \tan \frac{\pi f}{\beta} \right\}, \quad \{F, u\} \equiv \frac{F'''}{F'} - \frac{3}{2} \left( \frac{F''}{F'} \right)^2, \quad (0.25)$$

and the partition function over  $S^1$  is given by

$$S_{\text{Schwarzian}}(\beta) \propto \int_0^\infty ds s \sinh(2\pi s) e^{-\frac{\beta}{2C}s^2}. \quad (0.26)$$

For compact group, the partition function of 2d YM theory on  $D^2$  is given by (this should be reviewed in Zipei's paper)

$$Z(g, e\beta) = \sum_R \dim R \chi_R(g) e^{-\frac{e\beta C_2(R)}{4N}}, \quad (0.27)$$

where  $\text{tr}(\Lambda^i \Lambda^j) = N\delta^{ij}$ ,  $g$  is the holonomy of  $G$  along the boundary and  $e\beta$  is the size of the boundary  $S^1$ . For non-compact group, the partition function then becomes

$$Z(g, e\beta) = \int dR \rho(R) \chi_R(g) e^{-\frac{e\beta C_2(R)}{4N}}, \quad (0.28)$$

and  $\text{tr}(\Lambda^i \Lambda^j) = N\eta^{ij}$ .

For this, we first extend the gauge group to  $G_B$  defined in the previous section and add to the action

$$\Delta S_E = -i \int_{\Sigma} \phi^{\mathbb{R}} F^{\mathbb{R}} + i \oint_{\partial\Sigma} \phi^{\mathbb{R}} A^{\mathbb{R}}. \quad (0.29)$$

The holonomy along the boundary is given by  $\tilde{g} = \oint_{\partial\Sigma} A^i \Lambda_i \in \widetilde{SL}(2, \mathbb{R})$  and  $\theta = \oint_{\partial\Sigma} A^{\mathbb{R}}$ , as

$$Z_{k_0}(\tilde{g}, e\beta) = \int d\theta Z((\tilde{g}, \theta), e\beta) e^{-ik_0\theta}, \quad (0.30)$$

where we've chosen  $\phi^{\mathbb{R}}|_{\partial\Sigma} = k_0$ , and this allows us to isolate the contribution of the  $\mathbb{R}$  labelled by  $k_0$ , and thus fixes  $e^{2\pi i\mu}$  with  $\mu \in \frac{Bk_0}{2\pi} + \mathbb{Z}$ . Then, we send  $\mu \rightarrow i\infty$ , or equivalently  $kB \rightarrow \infty$ ,

$$G = G_B \quad \text{with} \quad B \rightarrow \infty, \quad \phi^{\mathbb{R}}|_{\partial\Sigma} = k_0 = -i. \quad (0.31)$$

For fixed  $G_B$  holonomy  $(g, e\beta)$ , the partition function is given by

$$Z(g, e\beta) \propto \int_{-\infty}^{\infty} dk \int_0^{\infty} ds \frac{s \sinh(2\pi s)}{\cosh(2\pi s) + \cos(Bk)} \quad (0.32)$$

$$\times \chi_{(s, \mu = \frac{Bk}{2\pi}, k)}(g) e^{-e\beta s^2/2} + (\text{discrete series}),$$

and after (0.30) and taking  $k_0 = -i$  and  $B \rightarrow \infty$ , the leading order contribution for  $\tilde{g} = 1$  is given by

$$Z_{k_0}(1, e\beta) \propto C \int_0^{\infty} ds s \sinh(2\pi s) e^{-e\beta s^2/2} + O(e^{-B}). \quad (0.33)$$

Thus we recover the partition function (0.26) of the Schwarzian theory.

## APPLICATION II: STRING IN $AdS_3$

In this section, we briefly review the spectrum of strings in  $AdS_3$  and its relation to the representation theory of  $\widetilde{SL}(2, \mathbb{R})$  following [3].

Notice that the group manifold of  $\widetilde{SL}(2, \mathbb{R})$  is the  $AdS_3$  with non-compact time direction. Hence string theory on  $AdS_3$  is made of  $\widetilde{SL}(2, \mathbb{R})$  WZW model tensor product some internal CFT. This internal CFT usually arise from the string compactification. The action of the  $\widetilde{SL}(2, \mathbb{R})$  WZW model is given by

$$S = \frac{k}{8\pi\alpha'} \int d^2\sigma \text{Tr} \left( g^{-1} g \partial_{\mu} g g^{-1} \partial^{\mu} g \right) + k\Gamma_{WZ}. \quad (0.34)$$

The theory has a set of conserved left moving and right moving currents  $J_R^a(x^+)$  and  $J_L^a(x^-)$ , corresponding to the  $\widetilde{SL}(2, \mathbb{R}) \times \widetilde{SL}(2, \mathbb{R})$  symmetries of the Lagrangian.

Before study the theory quantum mechanically, a little discussion classical solutions might be helpful. The theory has a spectral flow symmetry, parameterized by  $w \in \mathbb{Z}$ , which allows one to generate new solutions from the known one. Under the spectral flow, the currents transform as

$$J_{R/L}^3 = \tilde{J}_{R/L}^3 - \frac{k}{2}w, \quad J_{R/L}^{\pm} = \tilde{J}_{R/L}^{\pm} e^{\pm iwx^{\pm}}, \quad (0.35)$$

and in terms of the Fourier modes

$$J_n^3 = \tilde{J}_n^3 - \frac{k}{2}w\delta_{n,0}, \quad J_n^{\pm} = \tilde{J}_{n\pm w}^{\pm}. \quad (0.36)$$

As one may recognize, this is the spectral flow in CFT.

There are three classes of solutions we are interested in:

- *Geodesic*: For these solutions, the string collapsed to a point, hence the string world sheet shrinks into a world line in  $AdS_3$ .
- *Short Strings*: These solutions are acquired from the spectral flow of the timelike geodesics. They describe a string expand and contract repeatedly.
- *Long Strings*: These solutions are acquired from the spectral flow of the spacelike geodesic. They describe a string, which starts its life as a circular string of an infinite radius on the boundary of the  $AdS_3$  at  $t = -\infty$ , collapses into the bulk and then expand to an infinite circle on the boundary again. The reason for such solution to have finite energy is the NS-NS  $B$  field tends to extend the string, which balance the contraction force of the string tension.

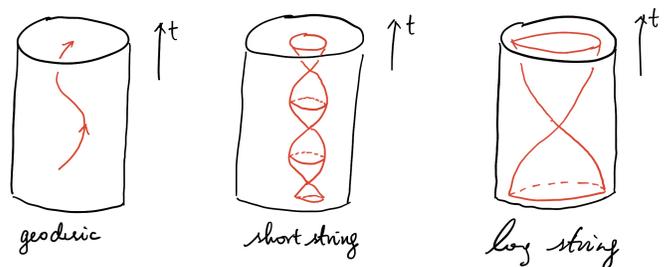


FIG. 1: A terrible drawing to illustrate the three types of classical solutions mentioned above.

We pause here to explain the two puzzled before [3]. People used to believe the spectrum only contains the representations of  $\widetilde{SL}_k(2, \mathbb{R})$  whose zero modes are the discrete series with  $0 < \lambda < \frac{k}{2}$ , whose  $L_0$  is bounded below. This raises the following puzzles. First, there is an

upper bound on the mass of the string states in  $AdS_3$ , which implies the energy contribution from the internal CFT can not be too high. That is, if the compact dimensions contain a circle, then there will be a cut-off on the winding numbers, which seems quite arbitrary. Second, among those representations, there is no one correspond to the long string solutions.

In the quantum theory, the  $\widetilde{SL}(2, \mathbb{R})$  extends into Kac-Moody algebra  $\widetilde{SL}_k(2, \mathbb{R})$ . The full representation is acquired by acting the raising operators (creating string oscillation) on the zero modes, which are representations of  $\widetilde{SL}(2, \mathbb{R})$ . In the low energy limit, the strings will become particles and only those zero modes will survive. Hence the WZW model will reduce to the quantum mechanics over  $AdS_3 = \widetilde{SL}(2, \mathbb{R})$ . From previous discussion, we learn we must keep all the ( $\delta$ -)normalizable representations, including the principal continuous series  $\mathcal{C}_\lambda^\mu$  and the discrete series  $\mathcal{D}_\lambda^\pm$  with  $\lambda > \frac{1}{2}$  and we must include both. We will denote the corresponding representations of  $\widetilde{SL}_k(2, \mathbb{R})$  as  $\widehat{\mathcal{C}}_\lambda^\mu$  and  $\widehat{\mathcal{D}}_\lambda^\pm$  respectively.

However, there are still two extra complexities. First, the representation of  $\widetilde{SL}_k(2, \mathbb{R})$  will contain negative norm states, thus must be removed from the spectrum by imposing the Virasoro constraint:

$$(L_n + \mathcal{L}_n - \delta_{n,0})|physical\rangle = 0, \quad n \geq 0, \quad (0.37)$$

where  $L_n$  is the Virasoro generators of the  $\widetilde{SL}_k(2, \mathbb{R})$  theory and  $\mathcal{L}_n$  is the Virasoro generators of the internal CFT. Those representations still include negative norm states after imposing the Virasoro constraints must not be included in the theory.

Second, the  $\widetilde{SL}_k(2, \mathbb{R})$  has the spectral flow 0.36, under which

$$\tilde{L}_n = L_n + wJ_n^3 - \frac{k}{4}w^2\delta_{n,0}. \quad (0.38)$$

Unlike the spectral flow for  $U(1)$  symmetry in the case of compact boson, this does lead to new representations which generically are not isomorphic to the  $\widehat{\mathcal{C}}_\lambda^\mu$  and  $\widehat{\mathcal{D}}_\lambda^\pm$ . We will denote them as  $\widehat{\mathcal{C}}_\lambda^{\mu,w}$  and  $\widehat{\mathcal{D}}_\lambda^{\pm,w}$ . Hence, we must keep those new representations which satisfy unitarity after imposing the Virasoro constraint.

After some tedious algebra which you can find in the appendix of [3], one finds the spectrum of the  $\widetilde{SL}_k(2, \mathbb{R})$  WZW model contains two types of representations. The spectral flow of the principal series  $\widehat{\mathcal{C}}_{\frac{1}{2}+is,L}^{\mu,w} \otimes \widehat{\mathcal{C}}_{\frac{1}{2}+is,R}^{\mu,w}$  and the spectral flow of the discrete series  $\widehat{\mathcal{D}}_{\lambda,L}^{+,w} \otimes \widehat{\mathcal{D}}_{\lambda,R}^{+,w}$  with  $\frac{1}{2} < j < \frac{k-1}{2}$ . For string theory, we tensor the above with the representations of the internal CFT and impose the Virasoro constraints.

Two comments:

- We have to include two copies of the same representations for the left-mover and the right-mover because there are two copies of the Virasoro. If you

wonder why we can't have something like  $\widehat{\mathcal{C}} \otimes \widehat{\mathcal{D}}$ , this is because, in the low energy limit where strings become particles, the wave functions are of the same irreps under the two copies  $\widetilde{SL}(2, \mathbb{R})$ , see (0.14).

- And if you wonder we do not include  $\widehat{\mathcal{D}}^-$ , this is because  $\widehat{\mathcal{D}}^-$  is actually related to  $\widehat{\mathcal{D}}^+$  under the spectral flow.

How does this spectrum helps solve the two puzzles we mentioned previously? First, the  $\widehat{\mathcal{C}}_{\frac{1}{2}+is,L}^{\mu,w} \otimes \widehat{\mathcal{C}}_{\frac{1}{2}+is,R}^{\mu,w}$  would correspond to the long strings in the classical limit. The appearance of the principal representation also explains what happens if we try to push the internal energy  $h$  very high, the discrete representation which seems to violate the unitarity bound would decay, and a continuous representation would appear and save the day.

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