A 3d Ising model with a weakly-coupled string dual?

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based on work with Nabil Iqbal (Durham) in progress



... better than CATS.

– Anonymous

... a surprising confluence of physics, programming and arts & crafts.

– N. I.

Motivation

Landau was even more right than we thought.

Landau paradigm part 1:

Phases of matter are classified by how they represent their symmetries. (Phases of matter are classified by the symmetries they break.) Gapless excitations or degeneracy (in a phase) are Goldstone modes for spontaneously broken symmetries.

Some apparent exceptions:

- topological order [Wegner, Wen]
- e.g. deconfined phase of \mathbb{Z}_2 lattice gauge theory,

fractional quantum Hall states.



- \bullet other deconfined states of gauge theory (e.g. Coulomb phase of E&M).
- (Landau) Fermi liquid.
- topological insulator and integer quantum Hall states.
- CFTs with no (symmetric) relevant operators.

Higher-form symmetries.

 $\begin{array}{l} 0\text{-form symmetry:}\\ \partial^{\mu}j_{\mu}=0 \ (i.e. \ d\star j=0)\\ \Longrightarrow \ Q=\int_{\Sigma_{D-1}}\star j \ \text{is independent of}\\ \text{time-slice }\Sigma, \end{array}$

i.e. is topological. [Thorngren]

Charged objects are local operators $\delta \mathcal{O}(x) = \mathbf{i}[Q, \mathcal{O}(x)] = \mathbf{i}q\mathcal{O}(x).$

Finite transformation: $U_{q=e^{i\alpha}} = e^{i\alpha Q} = e^{i\alpha \int_{\Sigma_{D-1}} \star j}.$

Charged particle worldlines can't end.

Discrete (\mathbb{Z}_k) version: particles can disappear in groups of k.

[Willett et al 14, Hofman-Iqbal, Lake...]

1-form symmetry: $J_{\mu\nu} = -J_{\nu\mu} \text{ with } \partial^{\mu}J_{\mu\nu} = 0$ (*i.e.* $d \star J = 0$) $\implies Q_{\Sigma} = \int_{\Sigma_{D-2}} \star J$ depends only on the topological class of Σ . Charged objects are loop operators: $\delta W(C) = \mathbf{i}[Q_{\Sigma}, W(C)] = \mathbf{i}qW(C)$ *e.g.* in free Maxwell theory: $J^{M} = F, W^{M}(C) = e^{\mathbf{i}\oint_{C}A}$ and $J^{E} = \star F, W^{E}(C) = e^{\mathbf{i}\oint_{C}\bar{A}} (dA \equiv \star d\tilde{A}).$

Finite transformation: $U_{g=e^{\mathbf{i}\alpha}}(\Sigma_{D-p-1}) = e^{\mathbf{i}\alpha Q_{\Sigma}} = e^{\mathbf{i}\alpha \int_{\Sigma_{D-p-1}} \star J}.$

Charged string worldsheets can't disappear or end. Discrete (\mathbb{Z}_k) version: strings can disappear or end in groups of k.

Higher-form symmetries.

[Willett et al, Hofman-Iqbal, Lake]

0-form symmetry:

Unbroken phase: correlations of charged operators are short-ranged, decay when the charged object ($S^0 =$ two points) grows.

$$\langle \mathcal{O}(x)^{\dagger} \mathcal{O}(0) \rangle \sim e^{-m|x|}$$

 $(|x| = \operatorname{Area}(S^{0}(x)).)$

1-form symmetry:

Unbroken phase: correlations of charged operators are short-ranged, decay when the charged object grows. $\langle W(C) \rangle \sim e^{-T_{p+1}\operatorname{Area}(C)}$ For E&M, area law for $\langle W^E(C) \rangle$ is the superconducting phase.

Landau was even more right than we thought.

 \bullet The gaples sness of the photon can be understood as required by spontaneously broken $\mathsf{U}(1)$ 1-form symmetry.

[Willett et al, Hofman-Iqbal, Lake]

Broken phase for 0-form sym: $\langle \mathcal{O}(x)^{\dagger} \mathcal{O}(0) \rangle = \langle \mathcal{O}^{\dagger} \rangle \langle \mathcal{O} \rangle + \dots$

If we couple to a bg field
$$\Delta L = j_{\mu} \mathcal{A}^{\mu}$$
,
 $\mathcal{L}_{\text{eff}} = \frac{\kappa}{2} \left(\underbrace{d\phi}_{\text{Goldstone}} + \mathcal{A} \right)^2$.
The goldstone transforms nonlinearly
 $\phi \to \phi + \lambda, \mathcal{A} \to \mathcal{A} - d\lambda$. This is a global
symmetry if $d\lambda = 0$.
(By (form)² I mean (form) $\wedge \star$ (form).)

Broken phase for 1-form sym: $\langle W(C) \rangle = e^{-T_p \operatorname{Perimeter}(C)} + \dots$ (set to 1 by counterterms local to C: large loop has a vev) If we couple to a bg field $\Delta L = J_{\mu\nu} \mathcal{B}^{\mu\nu}$, $\mathcal{L}_{\text{eff}} = \frac{g^2}{4} \left(\underbrace{d\tilde{A}}_{C \text{ oldstone}} + \mathcal{B} \right)^{\tilde{}}.$ The goldstone transforms nonlinearly $\tilde{A} \to \tilde{A} + \lambda, \mathcal{B} \to \mathcal{B} - d\lambda$. This is a global symmetry if $d\lambda = 0$. Maxwell term for A.

Landau was even more right than we thought.

• topological order $\stackrel{?}{=}$ SSB of *discrete* higher-form symmetry. SSB of 0-form discrete symmetry \implies domain wall excitations. • topological order, since the algebra of loop (or surface) operators must be realized on the vacuum. (D. 2)

• eg 1 (\mathbb{Z}_k gauge theory): in D spacetime dimensions with $\mathbb{Z}_k^{(1)} \times \mathbb{Z}_k^{(D-2)}$ 1-form and (D-2)-form symmetries, represented by $U^m(C_1), V^n(M_{D-2}), m, n = 1..k$

 $U^{m}(C)V^{n}(M) = e^{\frac{2\pi i m n \#(C,M)}{k}}V^{n}(M)U^{m}(C). \quad (\#(C,M) \equiv \text{intersection } \#)$

This is the algebra of electric and magnetic flux surfaces in \mathbb{Z}_k gauge theory. Simple realization is BF theory:

$$S = \frac{k}{2\pi} \int_{D} B_{D-2} \wedge dA, \qquad U^{m}(C) = e^{im \int_{C} A}, \quad V^{n}(M) = e^{in \int_{M} B_{D-2}}$$

• eg 2 (FQHE): in D=2+1, $\mathbb{Z}_k^{(1)}$ 1-form symmetry with an 't Hooft anomaly

$$U^{m}(C)U^{n}(C') = e^{\frac{2\pi i m n \#(C,C')}{k}} U^{n}(C')U^{m}(C).$$

(the flux carries charge) gives k groundstates on T^2 .

(Whether the most general topologically ordered state can be understood in this way is an open question [Wen 18].)

'Beyond-Landau' critical points?

Landau paradigm part 2:

At a critical point, the critical dofs are the fluctuations of the order parameter.

Apparent exceptions:

• Direct transitions between states which break different symmetries (deconfined quantum critical points), e.g. Neel to VBS in D = 2 + 1.



[Image: Alan Stonebraker]

• Transitions out of topologicallyordered states (no local order parameter).

[Image: Fradkin-Shenker]

'Beyond-Landau' critical points?



Can be understood in terms of mixed 't Hooft anomalies [Metlitski-Thorngren 18]

 \implies WZW terms coupling the order parameters on both sides. (Not today's focus.)

Can we understand the critical theory in terms of fluctuations of the string order parameter W(C)? But by Wegner's duality, this theory (up to global data) is in the same universality class as the 3d Ising model.

This suggests that the near-critical 3d Ising model should have a description as a string theory.

3d Ising model as a string theory

This is something which has been suggested before, from other points of view. [Fradkin-Srednicki-Susskind 80, Polyakov 81, Dotsenko, Itzykson 82, Casher-Foerster-Windey 85, Kavalov, Sedrakyan, Distler 92]

Reasons to hope for progress here:

• We're going to propose a modification to the Ising model, which we think may have a better string theory description.

• We've learned a lot about non-perturbative string theory since 1992!

Fermions from 2d Ising model. [Jordan-Wigner, Lieb-Mattis, ..., Polyakov]





On the square lattice, this can happen: (This is an avoidable, non-universal technicality, but its resolution is instructive.)



Fermions from 2d Ising model.

[Jordan-Wigner, Lieb-Mattis, ..., Polyakov]

$$Z_{\Box}(\beta) = 2\sum_{\gamma} (-1)^{n[\gamma]} e^{-2\beta L[\gamma]} = 2 \exp\left(\sum_{\substack{\gamma, \text{connected} \\ \text{worldline sum for real fermion}}} (-1)^{n[\gamma]} e^{-2\beta L[\gamma]}\right)$$

 $n[\gamma] \equiv \#$ of self-intersections w/ PBC: only even winding configs $w_{x,y}[\gamma] \in 2\mathbb{Z}$ correspond to spins

$$Z_{T^2} = \sum_{\gamma} \frac{1}{2} \left(1 + (-1)^{w_x(\gamma)} \right) \frac{1}{2} \left(1 + (-1)^{w_y(\gamma)} \right) (-1)^{n[\gamma]} e^{-2\beta L[\gamma]}$$

 $= Z_{++} + Z_{+-} + Z_{-+} + Z_{--}.$ This sum over spin structures says $(-1)^F$ is gauged. Fermions from 2d Ising model.[Jordan-Wigner, Lieb-Mattis, ..., Polyakov]

More explicitly, we can make fermion operators:

Disorder operator: $\mu(x) \equiv \prod_{\langle ij \rangle \perp C_x} e^{-2\beta \sigma_i \sigma_j}$. $x \in$ dual lattice. (Flip sign of β along links crossed by C.) μ is independent of local changes in C by $\sigma_i \to -\sigma_i$ symmetry. C is a branch cut for σ_i .



Duality interchanges $\mu \leftrightarrow \sigma$.

The self dual object $\psi_a(x) \equiv \sigma(x)\mu(x+e_a)$ is a fermion

$$R_{2\pi}(\psi(x)) = \psi_{a+4}(x) = -\psi_a(x)$$

- and satisfies

$$\langle \psi_a(x) \rangle = \cosh(2\beta) \langle \psi_{a+1}(x) \rangle - \sinh(2\beta) \langle \psi_{a+2}(x+\delta_{a+1}) \rangle$$

In the continuum limit, this is the Dirac equation, with $m \propto \beta - \beta_c$.

Fermionic strings from 3d Ising model.



Disorder operator: $\mu(C) \equiv \prod_{\langle ij \rangle \perp S_C, \partial S_C = C} e^{-2\beta \sigma_i \sigma_j}.$



 μ is independent of local changes in S_C by $\sigma_i \to -\sigma_i$ symmetry. S_C is a branch cut for σ_i .

$$\begin{split} \Psi_{a_1\cdots a_L}(C) &\equiv \mu(C) \prod_{s=1}^L \sigma(x_s + e_{a_s}) \\ (x_s = \text{center of link } s) \text{ satisfies} \\ \Psi_{a_1\cdots a_L}(C) &= \cosh(2\beta) \Psi_{a_1\cdots a_s+1,a_{s+1},\cdots a_L}(C) \\ -\sinh(2\beta) \Psi_{a_1\cdots a_{s-1},a'_s,a_s+2,a'_s+2,a_{s+1},\cdots a_L}(C+\Pi_{a_s}) \\ \text{Links like free Dirac particles, connected by} \\ \text{unbreakability of domain wall.} \\ \text{This description is shared by the RNS superstring.} \\ \psi^{\mu} \left(\dot{x}_{\mu} - x'_{\mu} \right) |\text{phys}\rangle = 0. \end{split}$$

Strong coupling problem.

 $_{\rm Distler}$ (1992) argued that the analog of self-intersection number term in the 3d case is the Euler character

$$Z_{3d}(\beta) = 2\sum_{\Sigma} (-1)^{\chi[\Sigma]} e^{-2\beta \operatorname{Area}[\Sigma]}$$



Just as in the 2d case, we can avoid this issue by working on a lattice where each edge touches only 3 faces, such as this one: (corner-sharing octahedra)



But this highlights the fact that $|g_s| = 1$.

Appeal to universality.

Q: can we modify the Ising model so that the dual string theory is weakly coupled?

(*i.e.* decrease the weight of domain walls with higher genus in the sum)

$$\chi = 2:$$

$$Z_{3d}(\beta, g_s) = 2 \sum_{\Sigma} (g_s)^{\chi[\Sigma]} e^{-2\beta \operatorname{Area}[\Sigma]} \qquad \chi = 0:$$

$$\chi = 0:$$

Possible outcomes, assuming there is still a continuous transition (there is): (1) Finite $g_s < 1$ leads to a new universality class, where spherical domain walls dominate.

(2) This changes T_c , but stays in the same 3d Ising universality class.

The planar 3d Ising model

How to change g_s ?

First idea: On each link of dual lattice (= face of the primal lattice), place four $N \times N$ -matrix-valued real variables $\phi_{\pm}^{1,2}$, associated with the four faces incident on the link:



of the angular integral over the ϕ s. The contribution of a spin configuration acquires a factor of

$$g^{\# \text{ of faces}} N^{\# \text{ of index loops}} = \lambda^{\# \text{ of faces}} N^{2-2g}$$

with $\lambda \equiv gN$. But this model is difficult to simulate and has an extra O(N) symmetry.



The planar 3d Ising model.

But there's a much easier way to change the relative weighting of the domain walls depending on their topology: just modify the Boltzmann weights!

$$Z = \sum_{s} g_s^{-\chi(s)} W_0(s)$$

where $W_0(s) = e^{-\beta \sum_{\langle ij \rangle} Z_i Z_j}$ is the usual Ising model Boltzmann weight, $\chi(s) \equiv F(s) - E(s) + V(s).$ F, E, V = # of faces, edges and vertices of the dual lattice participating in a domain wall.

A local Hamiltonian!

This statement requires some refinement.

Ambiguity & Resolution.

In how many DWs does a vertex participate?





One possibility: add an energetic penalty to exclude the (9) ambiguous configurations. Doing the analogous thing to the 2d Ising model $(\Delta E(+) = \text{CUTOFF})$ does not change the critical behavior (it merely moves T_c , but $\nu = 1$ still). Bad for the MC acceptance rate.

Alternative: decide on a decomposition into elementary constituents.

There are 2^8 possible configs α of the 8 spins adjacent to a vertex of $\hat{\Gamma}$

 \longrightarrow Binary vectors, $p_{\alpha} \in \mathbb{Z}_2^{12}$.

Order them by # of faces = Hamming weight (0 to 12). Choose a basis of lowest weight.



Ambiguity & Resolution.



[images: Distler]











But: not all vertex resolutions are

e.g. These two touching S^2 s would be

mutually compatible.





(1) For each vertex of $\hat{\Gamma}$, record face connections implied by the vertex decomposition.

(2) For each edge, check for compatibility between

these face connections. If not, that edge carries a 4π

branch point, $\Delta \chi = -1$.

Note: This prescription eliminates unoriented configurations. (An *unoriented* immersed surface must have an odd number of triple points: $\chi = \#$ of triple points, mod 2. [Banchoff, 74])

Numerical implementation: cluster updates.

Critical slow-down: Near a critical point, correlation lengths grow, and for local Monte Carlo dynamics, so do correlation times. Remedy: non-local MC dynamics [Sweeny, Wolff, Swendsen-Wang 80s]: propose moves which update an order-1 fraction of spins at once.

Happily, because our modification of the Ising interactions depends on the domain wall configuration, we can adapt these methods to our model.

Detailed balance

$$\pi(a)\mathcal{A}(a \to b) P(a \to b) \stackrel{!}{=} \pi(b)\mathcal{A}(b \to a) P(b \to a)$$

 $(\pi = \text{Boltzmann wt}, \mathcal{A} = \text{construction prob}, P = \text{acceptance prob})$ determines

$$P(a \to b) = \min\left(1, g_s^{\Delta\chi}\right).$$





Simulation results.

gs=1. NMC=100000



gs=0.3, NHC=100000



 $g_s = 1$

 $g_s = 0.3$

Simulation results.



 T_c does change with g_s .

Low-temperature AFM phase?

We can infer the correlation-length critical exponent ν from the collapse of the Binder cumulant. We find that the 3d Ising value $\nu = 0.62$ gives the best data collapse for all values of g_s (option (2) above).

Comment on universality: the 3d Ising fixed point has a fixed point value of g_s , which we cannot change (and do not know yet).

We are merely trying to make the dual string theory weakly coupled on the way to the fixed point.

Speculations about the worldsheet

Comments on worldsheet theory.

Important Q: how does the Ising \mathbb{Z}_2 act in the string theory?? **Hint 1**: The string worldsheet is a branch cut for the spin.

Hint 2: Ising gauge theory has fermions in its spectrum – the boundstate of e (end of string) and m (vison) is a fermion.

RNS superstring spectra:

chirality operator Γ .

Conjecture: Γ is the Ising \mathbb{Z}_2 .

Comments on worldsheet theory.

It is tempting to interpret this as a holographic duality.

Adding one extra dimension ϕ doesn't solve the problem of making a critical string theory.

A spacelike linear dilaton (in the radial direction, $\Phi = Q\phi$)

could be used to cancel the Weyl anomaly.

But linear dilaton and target-space conformal symmetry (required near the critical point) are not compatible:

At the critical point, we expect $ds^2 = ds^2_{AdS} = d\phi^2 + e^{-2\phi} d\vec{x}^2$.

If under a spacetime scale transformation $\phi \rightarrow \phi + \lambda$,

 $S_{\text{worldsheet}} \ni \int Q\phi \frac{R}{2\pi} \to S_{\text{worldsheet}} + Q\lambda\chi.$

[Hellerman-Maeda-Maltz-Swanson 14]: 'composite linear dilaton'. add

 $S_{\text{worldsheet}} \ni \int Q \varphi \frac{R}{2\pi}$ where $\varphi = \frac{1}{\Delta} \ln \mathcal{O}_{\Delta}$ is a composite operator which shifts under a worldsheet scale transformation.

We could choose $\mathcal{O}_2 = e^{2\phi}\partial_{\alpha}X^{\mu}\partial^{\alpha}X_{\mu} + \partial_{\alpha}\phi\partial^{\alpha}\phi$, the AdS_4 kinetic term, which is invariant under *target-space* scale transformations $X^{\mu} \to e^{\lambda}X^{\mu}, \phi \to \phi + \lambda$. And $\varphi = \frac{1}{\Delta} \ln \mathcal{O}_{\Delta} = \phi + \log |\partial X| + \log |\partial \phi|$.

What is $\log |\partial X|$?

Effective string theory.

[Polchinski-Strominger 91, ... Hellerman et al]

A less ambitious but more concrete connection with string theory governs the fluctuations of a large flat domain wall.

Worldsheet $X(\sigma, \tau)$ coordinate fields arise as Goldstones for breaking of translations by the wall.

'Large and flat' means $X(\sigma, \tau) = \sigma +$ fluctuations, so $\partial X \neq 0$, and $\log(\partial X)^2$ makes sense.



[Kuti 05] find a gapped breathing mode on the worldsheet.

Closer to the critical point, we can expect this mode to become gapless: a goldstone for breaking of scale transformations by the profile of the wall. This should be the bulk radial coordinate.

Final comments.

It would be interesting to measure $\langle \chi \rangle$ at the critical point, and the fixed-point value of g_s . This requires measuring the *number* of connected components, which is not local information (but can be calculated [Sweeny 83, Hoshen-Kopelman]).

Large-N puzzle: String theory in flat space has Hagedorn growth of single-string states at high energy. In AdS/CFT, this is matched by the large-N growth of the number of words tr ($XYXXY\cdots$). But our weak-coupling limit did not involve large-N!

An unoriented string theory without space-filling D-branes? (In all examples I know, RR tadpole cancellation requires D-branes on top of the space-filling O-planes.)

A string theory with no dynamical D-branes?

The end.

Thanks for listening.