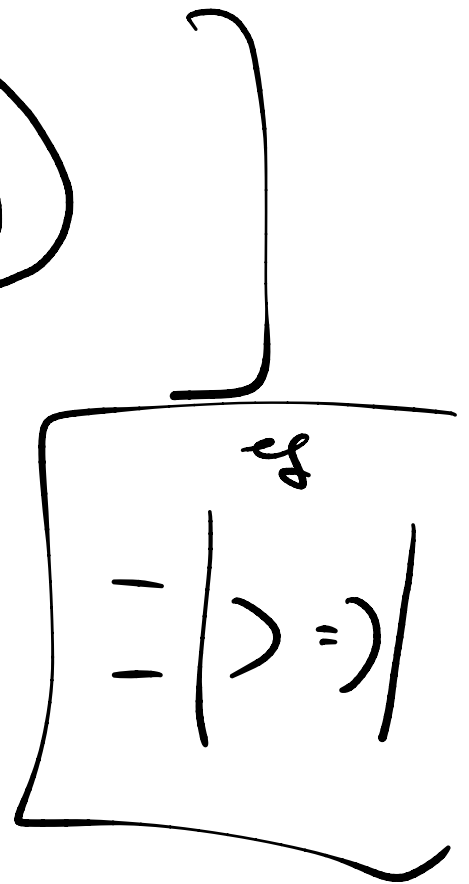


# 4.2 Chern-Simons Theory & Knot Invariants



up to isotopy

Knot  $S^1 \rightarrow M_3$   
 link  $S^1 \cup S^1 \cup \dots \cup S^1 \rightarrow M_3$



Given: oriented smooth 3-manifold  $M \supset \mathbb{C}$   
 closed

trivial  $G = SU(N)$  bundle over  $M$   
 $E \cong M \times \mathbb{C}^N$

on  $E$ , a connection (a Lie-algebra-valued 1-form on  $M$ )

$$A = \underbrace{A_i dx^i}_{i=1..3} = A_i^A dx^i T^A$$

$\uparrow$   $N \times N$  matrices,

$T^A =$  generators of Lie alg. of  $G$ .

gauge transform:  $A_i \rightarrow A_i - D_i \lambda$

infinitesimal

$$(D_i \lambda \equiv \partial_i \lambda + [A_i, \lambda].)$$

$$F_{ij} \equiv [D_i, D_j] = \partial_i A_j - \partial_j A_i + [A_i, A_j].$$

basic object:  $Z = \int [DA] e^{i S_{CS}[A]}$

$$S_{CS}[A] \equiv \frac{k}{4\pi} \int_M \text{tr} (A \wedge dA + \frac{2}{3} A \wedge A \wedge A)$$

$$= \frac{k}{8\pi} \int_M d^3x \epsilon^{ijk} \text{tr} A_i (\partial_j A_k - \partial_k A_j + \frac{2}{3} [A_j, A_k])$$

- no metric involved!
- orientation of  $M$  was req'd. breaks parity  $k \rightarrow -k$ .
- only 1 derivative vs <sup>YM</sup> Maxwell has 2 derivatives.  
 $\rightarrow$  more relevant than SYM.

EOM:  $0 = \frac{\delta S_{CS}[A]}{\delta A} \propto F \Rightarrow A$  is a flat connection.

no propagation! Theory of groundstates.

• If  $\partial M \neq \emptyset$   $\delta_\lambda \int_{CS} [A] = 0$ .

$$A \mapsto A^g \equiv g^{-1} A g - g^{-1} dg$$

$$g: M \rightarrow G.$$

$G$  simple

eg:  $M = S^3$ .  $\Rightarrow$  classified by  $\pi_3(G) = \mathbb{Z}$

"large gauge transfs"

$$S_{CS}[A^g] = S_{CS}[A] + 2\pi k \nu$$

$$\nu = \frac{1}{12\pi} \int_M \text{tr} (g^{-1} dg)^3 \in \mathbb{Z}.$$

$$Z = \int \frac{(D\mu)}{\text{vol}(\text{gauge group})} e^{i S_{CS}[A]} = e^{i S_{CS}[A^g]}$$

$\Leftrightarrow$   $k \in \mathbb{Z}$ .

Note:  $k \rightarrow \infty$   
is weak coupling.

Observables: No (gauge invariant) local operators.

Only Wilson loops:  $W_R(C) \equiv \text{tr}_R P e^{i \oint_C A}$

for a knot  $C$   
a rep  $R$  of  $G$ .

depends on  $C$   
only up to isotopy.

$$Z(M, \{C_r, R_r\}) = \int [DA] e^{i S_{CS}[A]} \prod_{r=1}^n W_{R_r}(C_r)$$

$$\underline{C_r \cap C_{r'} = \emptyset.}$$

abelian case

$$G = U(1).$$

$$S[a] = \frac{k}{2\pi} \int \epsilon^{ijk} a_i \partial_j a_k \quad \underline{\text{gaussian.}}$$

$$\begin{aligned} Z(S^3, \{C_r, n_r\}) &= \int [Da] e^{iS} \prod_r e^{i n_r \oint_{C_r} a} \\ &= \int [Da] e^{-iS + i \int j \cdot a} \end{aligned}$$

$$j^i(x) \equiv \sum_r n_r \oint_{C_r} \delta^3(x - x_r) dx_r^i$$

$$= N \exp i \int_x \int_y \dot{x} \underline{D_{xy}^{-1}} \dot{y}$$

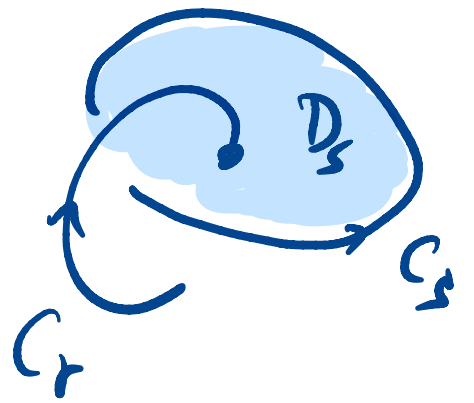
$$\Omega[a] \equiv \iint a_x D_{xy} a_y$$

$$= N \exp \frac{i}{2k} \sum_{r,s} n_r n_s \int_{C_r} dx^i \int_{C_s} dy^j \epsilon^{ijk} \frac{(x-y)^k}{|x-y|}$$

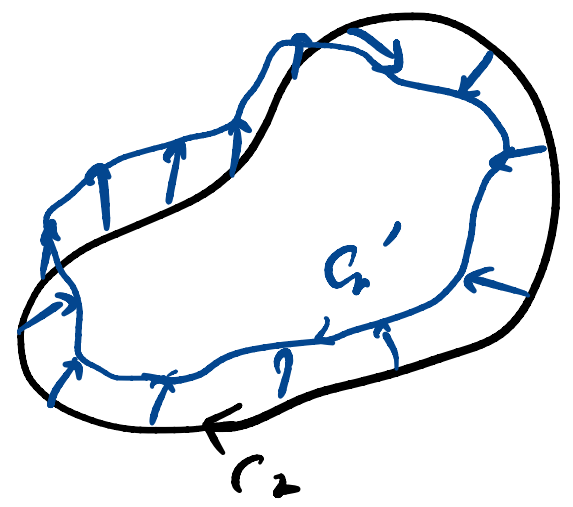
if  $C_r \cap C_s \neq \emptyset$

$$L(C_r, C_s) = \frac{1}{4\pi} \oint_{C_r} dx^i \oint_{C_s} dy^j \epsilon^{ijk} \frac{(x-y)^k}{|x-y|^3} \in \mathcal{U}$$

$$\text{Gauss' linking \#} \equiv \#(C_r, D_s)$$



extra data:  
framing of the knot



$$L(C_r, C_r) \equiv L(C_r, C_r')$$

(point-splitting regularization.)

twist framing by  $t$  windings  $l_{rr} \rightarrow l_{rr} + t$

$$z \rightarrow z e^{2\pi i t \frac{n_r^2}{k}}$$

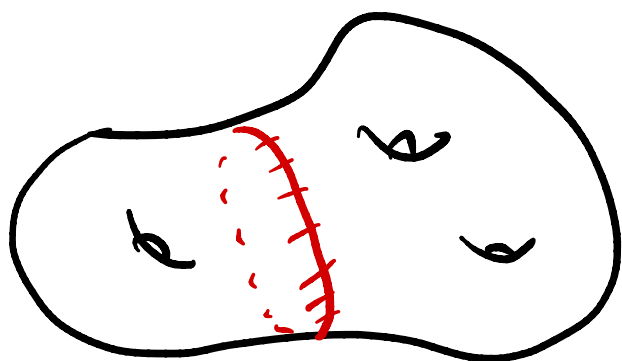
Physical ambiguity: particle vs spin  $\frac{n_r^2}{k}$ .

must specify not just path, but also its winding.

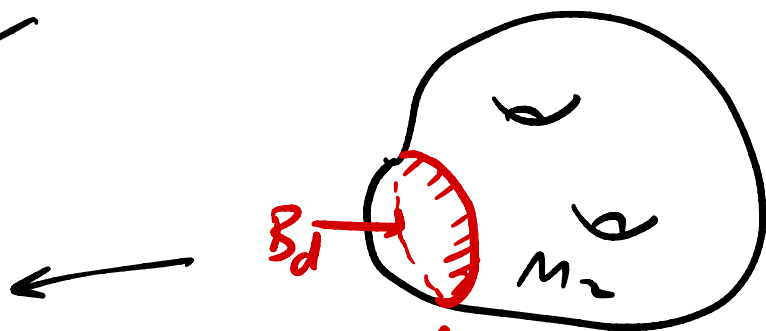
strategy: chop up  $M$ .

$d=2$

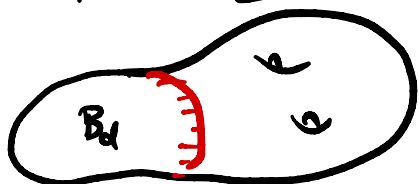
Def: Connected sum.



$M_1 \# M_2$



Note:



$$S^d \# M = M$$

claim:  $z(M_1 \# M_2) z(S^3) = z(M_1) z(M_2)$

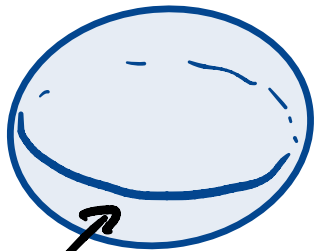
$M = M_1 \# M_2$

aka

$$\frac{z(M)}{z(S^3)} = \frac{z(M_1)}{z(S^3)} \frac{z(M_2)}{z(S^3)}$$

(check:  $\Rightarrow z(S^3 \# M) = z(M)$ .)

idea:



$M_1 = B_3$

$M_2 = M - B_3$

$z(M_1) \in \mathcal{H}_{S^2 = \partial M_1}$   
 $= \langle M_1 \rangle$

---

$z(M_2) = \langle M_2 \rangle \in \mathcal{H}_{+\partial M_1 = S^2}^*$   
 $= -\partial M_2$

$z(M) = \langle M_2 | M_1 \rangle$

In particular  
 $M = S^3 = B_2 \# B_2$



$B_2$

$S^3 = B_2 \# B_2$   
 $\approx \text{ball}$

$z(S^3) = \langle B_2 | B_2 \rangle$

Fact #1:  $\mathcal{H}_{S^2} = \mathbb{C}$ . (unique g.s.  
on  $S^2$ )

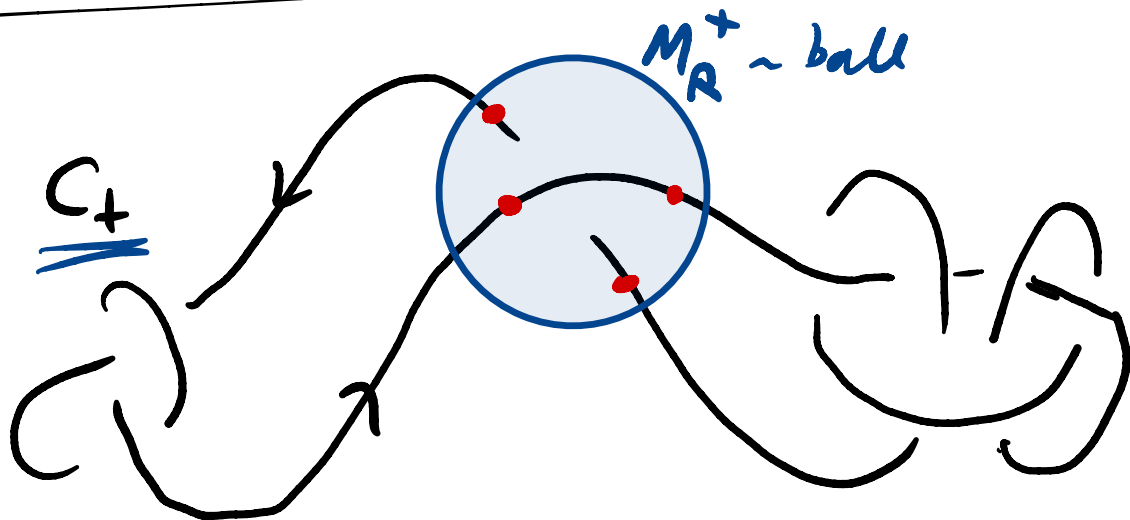
$$\Rightarrow \underbrace{\langle M_2 | M_1 \rangle}_{\mathbb{Z}(M)} \underbrace{\langle B_L | B_R \rangle}_{\mathbb{Z}(S^3)} = \underbrace{\langle M_2 | B_R \rangle}_{\mathbb{Z}(M_2)} \underbrace{\langle M_1 | B_L \rangle}_{\mathbb{Z}(M_1)}$$

□

unlinked

$$\Rightarrow \frac{\mathbb{Z}(S^3, C_1, \dots, C_s)}{\mathbb{Z}(S^3)} = \prod_{v=1}^s \frac{\mathbb{Z}(S^3, C_v)}{\mathbb{Z}(S^3)}$$

$$\equiv \langle C_1, \dots, C_s \rangle = \prod_{v=1}^s \langle C_v \rangle$$

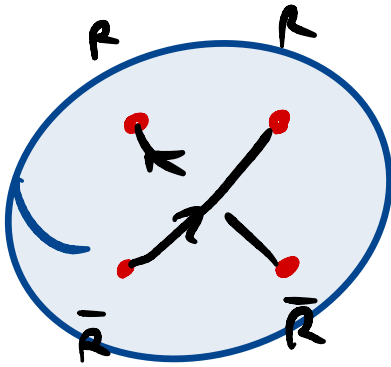


$$2M_R^+ = S^2 \setminus \{R, R, \bar{R}, \bar{R}\} \rightarrow \mathbb{Z}(M_R^+ \dots) = |\Psi^+ \in \mathbb{Z}(S^2_{RR\bar{R}\bar{R}})$$

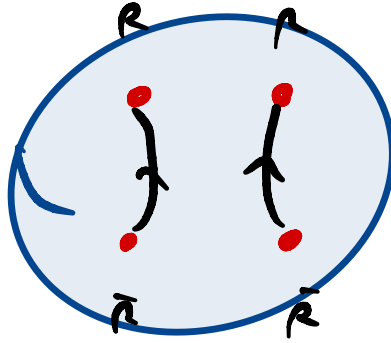


fact 2 : For  $G = SU(N)$ ,  $R = \square$ .  $N$ -dim rep

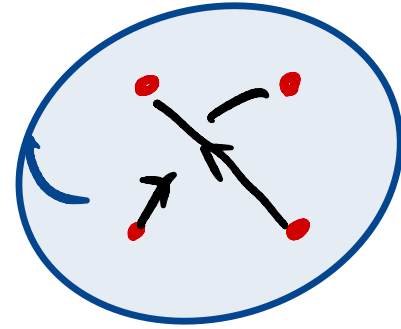
$$\mathcal{H}_{S^2, \square\square\bar{\square}\bar{\square}} = \mathbb{C}^2$$



$|M_R^+\rangle$



$|M_R^0\rangle$



$|M_R^-\rangle$

$\in \mathcal{H}_{S^2, \square\square\bar{\square}\bar{\square}}$

$\exists \alpha, \beta, \gamma \in \mathbb{C}$

$$\Rightarrow \alpha |M_R^+\rangle + \beta |M_R^0\rangle + \gamma |M_R^-\rangle = 0$$

$\langle M_L |$   $\in \mathcal{H}_{S^2, \square\square\bar{\square}\bar{\square}}^*$

defined by  
 $C^+$  on  $M \cdot M_R$ .

$$\Rightarrow \alpha Z(S^3, C_+) + \beta Z(S^3, C_0) + \gamma Z(S^3, C_-) = 0$$


$$\text{OR: } \alpha \begin{array}{c} \times \\ \diagup \\ \diagdown \\ \times \end{array} + \beta \begin{array}{c} \diagup \\ \diagdown \end{array} + \gamma \begin{array}{c} \diagdown \\ \diagup \end{array} = 0$$

skein rel'n.

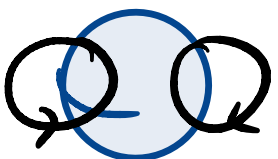

Skein rel'n determines  $Z(S^3, \text{any knot or link})$

pf: inductive on # of crossing.

eg:  $0 = \alpha \langle \text{crossing} \rangle$



$+ \beta \langle \text{two circles} \rangle + \gamma \langle \text{crossing} \rangle$

$= \alpha Z(C) + \beta Z(C^2) + \gamma Z(C)$

$\langle C^2 \rangle = \langle C \rangle^2$

$\Rightarrow \langle C \rangle = - \frac{\alpha + \gamma}{\beta}$

Canonical picture:  $M = \mathbb{R} \times \Sigma_g$

↑  
time

choose  $A_0 = 0$  gauge.

$S = \frac{k}{8\pi} \int_{\Sigma_g} \int dt \epsilon^{ij} \dot{A}_i \dot{A}_j + \text{sources from } W_R$

$$[A_x, A_y] = i f, \quad \underline{H = 0.}$$

Gauss Law  $0 = \frac{dS}{dA_0} = \frac{k}{4\pi} \epsilon_{ij} F_{ij}^A$

$$- \sum_{i=1}^s f^2(x-p_i) \overline{T}_i^A$$

$\Rightarrow A$  is flat on  $\Sigma_g$   
away from sources.

phase space = { flat  $G$ -connections }  
on  $\Sigma_g$

finite dim.

eg:  $\Sigma_g = S^2$ .

$G$  bundle on  $S^2$   
 $\Leftrightarrow \pi_1(G)$

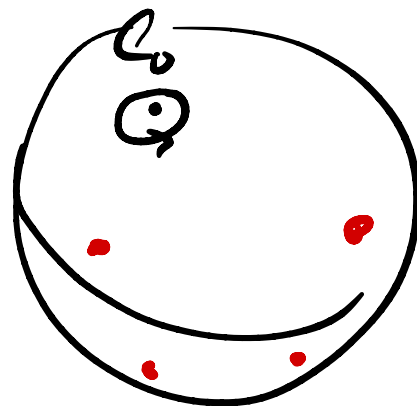
$\Rightarrow \mathcal{H}_{S^2} = \mathbb{C}$  if  $\pi(G) = 0$ .

$S^2$  w punctures:  $\boxed{k \rightarrow \infty}$

$$\mathcal{H} \stackrel{?}{=} \bigoplus_r R_r \equiv \mathcal{H}_0.$$

$$0 = \oint_{C_0} A = \int_{S^2} (\Sigma d T^A \frac{4\pi}{k})$$

$\uparrow$  flatness                       $\uparrow$  Gauss Law



(total charge on  $S^2 = 0$ .)

$$\mathcal{H} = \frac{G\text{-inv't subspace of } \mathcal{H}_0}{\text{ie (singlet)}}$$

CLAIM:

$k < \infty$ :

$$R_r \in \left\{ \begin{array}{l} \text{integrable reps} \\ \text{of affine Lie alg } \mathfrak{G}_k \end{array} \right\}$$

$$\subset \left\{ \text{invers of } \mathfrak{G} \right\}$$

$$\mathcal{H}_{S^2} = \mathbb{C}$$

$$\mathcal{H}_{S^2, R_a} = \begin{cases} \mathbb{C} & R_a = R_0 \\ 0 & \text{else} \end{cases} \quad \leftarrow \begin{array}{l} \text{trivial id} \\ \text{rep} \end{array}$$

$$\mathcal{H}_{S^2, \{R_a, R_b\}} = \int_{R_a, R_b} R_a^* R_b \mathbb{C} = \int_{\text{all}} \mathbb{C}$$

$$\mathcal{H}_{S^2, \{R_a, R_b, R_c\}} = V_{abc}$$

$$\dim V_{abc} \equiv N_{abc}$$

$G = SU(N)$ :

$$\square \otimes \square = \square \oplus \square$$

$$\Rightarrow \underbrace{\square \otimes \square} \otimes \underbrace{\bar{\square} \otimes \bar{\square}} = \underbrace{(\square \oplus \square) \otimes (\bar{\square} \otimes \bar{\square})}$$

$$= (\square \otimes \bar{\square}) \oplus (\square \otimes \bar{\square}) + \text{non singlet}$$

$\Rightarrow$  2 singlets

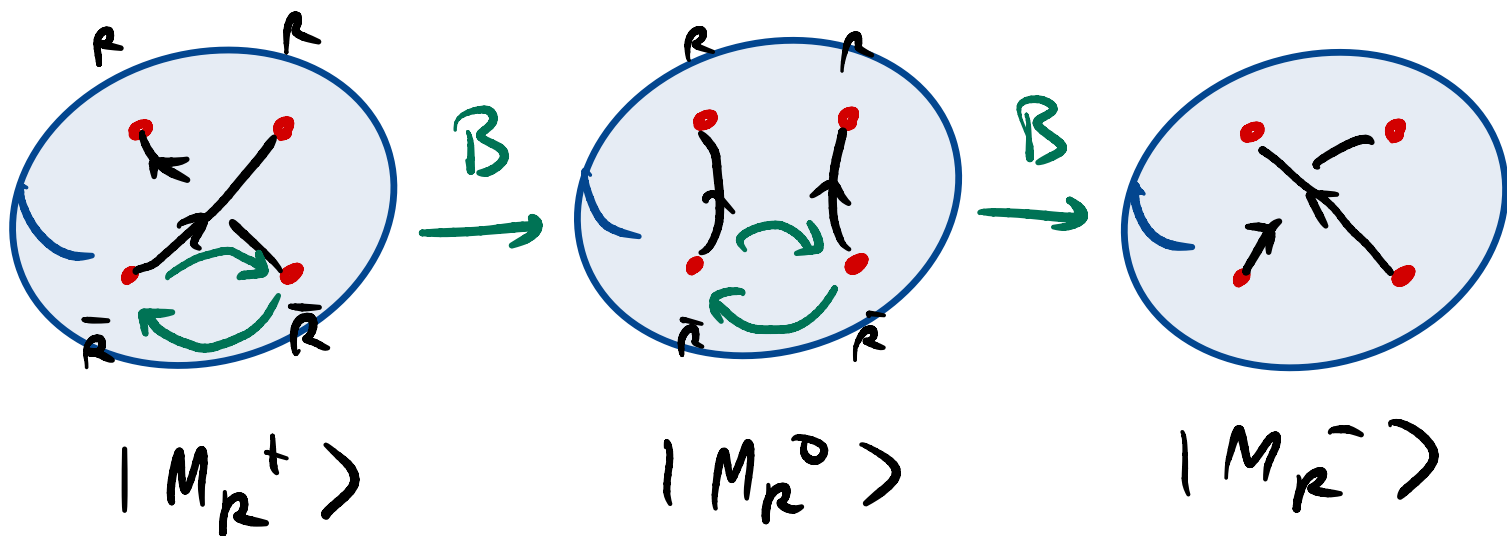
$$\dim \overline{\mathcal{H}_{S^2, \square \square \bar{\square} \bar{\square}}} = \mathbb{C}^2$$

eg:  $(\mathbb{Q}) \rightsquigarrow G = SL(2, \mathbb{F}_3)$

has 3 2d reps  $\cong$

$$2 \oplus \bar{2} \oplus \bar{2} = \underline{1 \oplus 1 + \dots}$$

BRAIDING:



$$|M_R^0\rangle = \hat{B} |M_R^+\rangle$$

$$|M_R^-\rangle = \hat{B} |M_R^0\rangle = \hat{B}^2 |M_R^+\rangle$$

$B$  is a unitary on  $\mathbb{C}^2$

$$\Rightarrow B^2 - yB - z = 0 \quad \rightsquigarrow y = \text{tr } B, \quad z = \det B$$

$$\Rightarrow z \langle M_R^+ \rangle - y \langle M_R^0 \rangle + \langle M_R^- \rangle = 0.$$

CFT + framing ambiguity.

$$\left\{ \begin{array}{l} \alpha = -q^{N/2} \quad \beta = q^{1/2} - q^{-1/2} \\ \sigma = q^{-N/2} \end{array} \right.$$

$$g = e^{\frac{2\pi i}{N+k}}$$

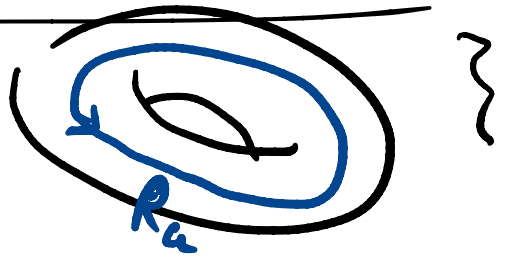
$$\langle C \rangle = \frac{q^{N/2} - q^{-N/2}}{q^{1/2} - q^{-1/2}}$$

•  $\geq 0 \iff$  reflection positivity.

•  $k \rightarrow \infty \quad \langle C \rangle = \text{tr } \mathbb{1} = N \quad \checkmark$

Dehn surgery

$$\mathcal{H}_{T^2} = \text{span} \left\{ \right.$$



$$\equiv |V_a\rangle$$

on  $T^2$   $SL(2, \mathbb{C})$  of

large diffeos

$$\begin{pmatrix} n \\ m \end{pmatrix} \rightarrow K \begin{pmatrix} n \\ m \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} n \\ m \end{pmatrix}$$



$$\begin{array}{l} a, b, c, d \in \mathbb{Z} \\ ad - bc = 1 \end{array}$$

glue  $M_L = \text{Solid torus}$

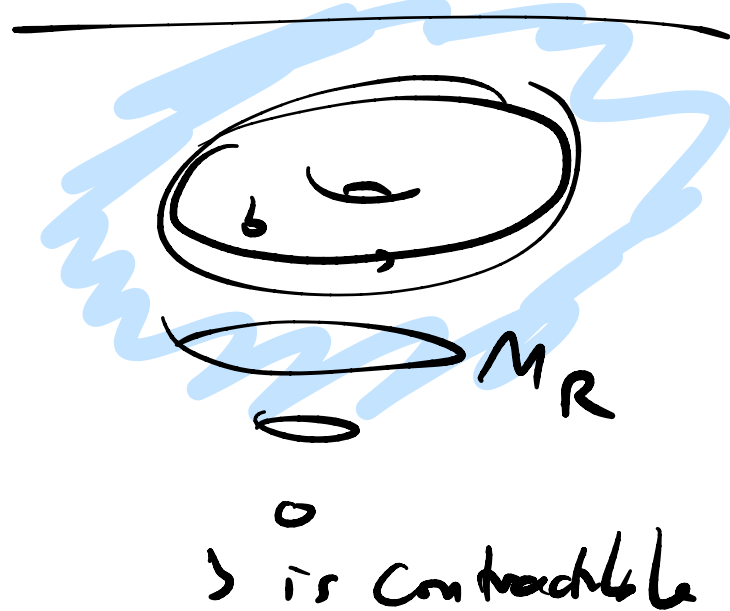
to  $M_R = M \setminus \text{Solid torus}$

up to  $K \in \text{SL}(2, \mathbb{Z})$ .

eg:  $S^3 = M_L \cup_{T^2} M_R$

glue  $M_L$  to  $M_R$   
by  $S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$

$$\Rightarrow \tilde{M} = \left( B_2 \text{ glued to } B_2 \right) \times S^1 \\ = S^2 \times S^1.$$



$$\mathcal{Z}(M) = \langle M_L | M_R \rangle$$

$$\mathcal{Z}(\tilde{M}) = \langle M_L | \hat{K} | M_R \rangle$$

$\hat{K}$  on  $\partial T^2$ .

$$\hat{K} |v_a\rangle = \sum_b K_a^b |v_b\rangle$$



Given  $M \supset C \rightsquigarrow R_a$

$$Z(M, C) = \langle M_L | V_a \rangle$$

$$\begin{aligned} Z(\tilde{M}_k, C) &= \langle M_L | \vec{k} | V_a \rangle \\ &= \sum_b k_a^b \langle M_L | V_b \rangle \\ &= \sum_b k_a^b \underline{Z(M, R_b)} \end{aligned}$$

$$\underline{Z(S^2 \times S^1, \{R_a\}) = \dim H_{S^2, \{R_a\}}$$



Take  $\tilde{M} = S^3$ ,  $M = S^2 \times S^1$ ,  $\underline{k = S}$ .

$$Z(S^3) = \sum_b S_0^b Z(S^2 \times S^1, R_b) = \underline{S_0^0}.$$

$$Z(S^3, R_a) = S_a^0 = \langle C_a \rangle Z(S^3)$$

$$\rightarrow \langle C_a \rangle = \frac{S_a^0}{S_0^0}.$$

for  $G = SU(2)_k$ . spin  $q/2$ ,  $q = 0 \dots k$

$$S_{ab} = S_a^c S_{ac} = \sqrt{\frac{2}{k+2}} \sin \frac{\pi(q+1)(b+1)}{k+2}.$$

Verlinde formula.

$$N_{bcd} = \sum_a \frac{S_{ab} S_{ac} (S^{-1})_d^a}{S_{a0}}$$

Borel Bott Weil thm :

IRREP of  $G$  =  $\mathcal{H}$  made from  
phase space = symmetric  
space of  $G$ .

Dijkgraaf - Witten.