$\begin{array}{c} {\rm University\ of\ California\ at\ San\ Diego\ -\ Department\ of\ Physics\ -\ Prof.\ John\ McGreevy} \\ {\displaystyle \begin{array}{c} {\bf Physics\ 215B\ QFT\ Winter\ 2025} \\ {\displaystyle \ Assignment\ 10\ -\ Solutions} \end{array}} \end{array}$

Due 11:59pm Thursday, March 20, 2025

1. When is the QCD interaction attractive?

Write the amplitude for *tree-level* scattering of a quark and antiquark of different flavors (say u and \bar{d}) in the *t*-channel (in Feynman $\xi = 1$ gauge). Compare to the expression for $e\bar{\mu}$ scattering in QED.

First fix the initial colors of the quarks to be different – say the incoming u is red and the incoming \overline{d} is anti-green. Show that the potential is repulsive.

Now fix the initial colors to be opposite – say the incoming u is red and the incoming \overline{d} is anti-red – so that they may form a color singlet. Show that the potential is attractive.

Alternatively or in addition, describe these results in a more gauge invariant way, by characterizing the potential in the color-singlet and color-octet channels.

You can do this problem either by choosing a specific basis for the generators of SU(3) in the fundamental (a common one is the Gell-Mann matrices), or using more abstract group theory methods.

Schwartz p.512.

The t-channel diagram is identical to the QED amplitude with replacement

$$e^2 \rightarrow g^2 T^a_{3,ij} T^a_{\bar{3},\bar{k}\bar{l}}$$

where T_3^a and $T_{\bar{3}}^a$ are the generators of SU(3) in the fundamental and antifundamental representations, respectively. We saw on a previous homework that these are related by $T_{\bar{3}} = -T_3^*$.

A nice way to think about this is: The tensor product of 3 and $\bar{3}$ representations decomposes into irreducible representations as $3 \otimes \bar{3} = 1 \oplus 8$, where the former is the singlet and the latter is the adjoint.

- 2. Spinors in other dimensions. The remaining two problems take place in 2+1 and 1+1 dimensions respectively and involve Dirac spinors. The following will be useful.
 - (a) Show that for both D = 2 or D = 3 we can find a *two* dimensional representation of the Clifford algebra $\{\gamma^{\mu}, \gamma^{\nu}\} = 2\eta^{\mu\nu}$. Hint: use the Pauli matrices with appropriate some factors of **i**.

- (b) What is $tr\gamma^{\mu}\gamma^{\nu}$ in these two cases?
- (c) What is $\operatorname{tr}\gamma^{\mu}\gamma^{\nu}\gamma^{\rho}$ in D=2 and D=3 respectively?
- (d) What is $\mathrm{tr}\gamma^{\mu}\gamma^{\nu}\gamma^{\rho}\gamma^{\sigma}$?

3. Where to find a Chern-Simons term.

Consider a field theory in D = 2 + 1 of a massive Dirac fermion, coupled to a *background* U(1) gauge field A with action:

$$S[\psi, A] = \int d^3x \bar{\psi} \left(\mathbf{i} \not\!\!D - m\right) \psi$$

where $D_{\mu} = \partial_{\mu} - \mathbf{i}A_{\mu}$. A_{μ} is not dynamical for the purposes of this problem. Use the two-component spinors from the previous problem.

(a) Convince yourself that the mass term for the Dirac fermion in D = 2 + 1 breaks parity symmetry. By parity symmetry I mean a transformation $\psi(x) \to \Gamma \psi(Ox)$ where det O = -1, and Γ is a matrix acting on the spin indices, chosen so that this operation preserves $\bar{\psi} \partial \psi$.

First: the definition of parity is an element of O(d, 1) that's not in SO(d, 1), *i.e.* one with det(g) = -1. In three spatial dimensions this is accomplished by $(t, \vec{x}) \rightarrow (t, -\vec{x})$. But in two spatial dimensions, this transformation has only two minus signs and so has determinant one – it is just a π rotation. (Certainly $\bar{\psi}\psi$ is invariant under it. And in fact Peskin's argument for the transformation of the Dirac field goes through exactly – it picks up a γ^0 .) Instead we must do something like $(t, x, y) \rightarrow (t, x, -y)$ (other transformations are related by composing with a rotation).

Now we must figure out what this does to the Dirac spinor. First recall that the clifford algebra in D = 2 + 1 can be represented by 2×2 matrices (*e.g.* the Paulis, times some factors of **i** to get the squares right) and there is no notion of chirality, since the product of the three Paulis is proportional to the identity. We want an operation on $\psi(t, x, -y)$ which gives back the (massless) Dirac equation:

$$0 = \left(\gamma^0 \partial_t + \gamma^1 \partial_x + \gamma^2 \partial_y\right) \psi(t, x, -y) = \left(\gamma^0 \partial_t + \gamma^1 \partial_x - \gamma^2 \partial_{\tilde{y}}\right) \psi(t, x, \tilde{y})$$

with $\tilde{y} \equiv -y$. Inserting $1 = -\gamma_2^2$ before ψ we have

$$0 = \left(\gamma^0 \partial_t + \gamma^1 \partial_x - \gamma^2 \partial_{\tilde{y}}\right) \left(-\gamma_2^2\right) \psi(t, x, \tilde{y}) = \gamma_2 \left(\gamma^0 \partial_t + \gamma^1 \partial_x + \gamma^2 \partial_{\tilde{y}}\right) \gamma_2 \psi(t, x, \tilde{y})$$

which is proportional to $\partial \gamma^2 \psi(\tilde{x}) = 0$. We conclude that $P\psi(t, x, y)P = \gamma^2 \psi(t, x, -y)$ will work (there is a sign ambiguity in the definition of the transformation).

This gives $\bar{\psi}\psi \mapsto (\psi^{\dagger}\gamma^{2\dagger})\gamma^{0}\gamma^{2}\psi = \bar{\psi}(\gamma^{2})^{2}\psi = -\bar{\psi}\psi$, while $\bar{\psi}D\psi \to \bar{\psi}D\psi$. Here we used $(\gamma^{\mu})^{\dagger}\gamma^{0} = \gamma^{0}\gamma^{\mu}$, and $A_{\mu}(t,x,y) \to (A_{0}(t,x,-y),A_{x}(t,x,-y),-A_{y}(t,x,-y))_{\mu}$.

(b) We would like to study the effective action for the gauge field that results from integrating out the fermion field

$$e^{-S_{eff}[A]} = \int [D\psi D\bar{\psi}] e^{-S[\psi,A]}.$$

Focus on the term quadratic in A:

$$S_{eff}[A] = \frac{1}{2} \int d^D q A_\mu(q) \Pi^{\mu\nu}(q) A_\nu(q) + \dots$$

We can compute $\Pi^{\mu\nu}$ by Feynman diagrams. Convince yourself that Π comes from a single loop of ψ with two A insertions.

(c) Evaluate this diagram using dim reg near D = 3. Show that, in the lowenergy limit $q \ll m$ (where we can't make on-shell fermions),

$$\Pi^{\mu\nu} = a \frac{m}{|m|} \epsilon^{\mu\nu\rho} q_{\rho} + \dots$$

for some constant *a*. Find *a*. Convince yourself that in position space this is a Chern-Simons term, $S[A] = \frac{k}{4\pi} \int A \wedge dA$. Find the level *k*.

The key ingredient is that in D = 3 we have $\operatorname{tr}\gamma^{\mu}\gamma^{\nu}\gamma^{\rho} = -2\epsilon^{\mu\nu\rho}$, as you can check for the basis we chose above with the Pauli matrices. Note that this would have been zero in D = 4, as in Peskin's calculation on page 247-248. The answer in D = 2 + 1 is then the answer for general D plus this extra term, which also has a factor of m since it comes from expanding out the numerators of the electron propagators:

$$\Pi_2(q)^{\mu\nu} = \dots - \frac{\mathbf{i}e^2}{(4\pi)^{D/2}} \int_0^1 dx \frac{\Gamma(2-D/2)}{\Delta^{1/2}} \mathrm{tr}\gamma^{\mu}\gamma^{\nu}\gamma^{\rho} m \left((p+q)_{\rho} - p_{\rho}\right) \quad (1)$$

$$= \dots + \frac{\mathbf{i}e^2}{4\pi} \frac{m}{|m|} \epsilon^{\mu\nu\rho} q_{\nu} + \dots$$
(2)

where the ... is all the terms that are there in other dimensions, plus also the terms from expanding in $m^2 \gg q^2$.

The effective action is then

$$S_{\text{eff}}[A] = \frac{1}{2} \int d^3 q A_{\mu}(q) \Pi^{\mu\nu}(q) A_{\nu}(-q)$$
(3)

$$=\frac{e^2}{8\pi^2}\operatorname{sign}(m)\int d^3A_{\mu}(q)A_{\nu}(-q)\epsilon^{\mu\nu\rho}q_{\rho}$$
(4)

$$= \frac{e^2}{8\pi^2} \operatorname{sign}(m) \int A \wedge dA.$$
 (5)

Clearly this shows that the mass term is odd under parity, since the Chern-Simons term it generates is proportional to sign(m).

(d) Redo this calculation by doing the Gaussian path integral over ψ . Roughly:

$$\int [D\psi D\bar{\psi}] e^{-S[\psi,\bar{\psi},A]} = \det \left(\mathbf{i}\not\!\!D - m\right) = e^{\operatorname{tr}\log\left(\mathbf{i}\not\!\!D - m\right)}.$$

Therefore

$$S_{\text{eff}}[A] = -\text{Tr } \log\left(\mathbf{i}\partial - A - m\right) = -\text{Tr } \log\left(\mathbf{i}\partial - m\right)\left(1 + A\left(\mathbf{i}\partial - m\right)^{-1}\right).$$

The trace Tr is over the space on which $\mathbf{i}\not{D} - m$ acts, which is the space of spinor-valued functions. So it includes the spinor trace tr as well as a sum $\int d^3x$ or $\int d^d p$. Note that the term linear in A is the familiar tadpole diagram, which vanishes by charge conjugation symmetry or Furry's theorem. We need to expand this in A to second order to get Π , and, using

$$A(\hat{x}) = \int dp e^{-\mathbf{i}p\hat{x}}, \quad f(\mathbf{i}\partial) = \int dq |q\rangle \langle q|f(q)$$

the result is

$$S_{\text{eff}}[A] = \dots - \frac{1}{2} \int d^3x \, \langle x | \operatorname{tr} A \left(\mathbf{i} \partial - m \right)^{-1} A \left(\mathbf{i} \partial - m \right)^{-1} | x \rangle \tag{6}$$
$$= \dots - \frac{1}{2} \int d^3x \, \int \mathrm{d}^3p_{1,2} \int \mathrm{d}^3q_{1,2} e^{-\mathbf{i}q_1x} \, \langle x | p_1 \rangle \underbrace{\langle p_1 | e^{-\mathbf{i}q_2\hat{x}} | p_2 \rangle}_{= \int d^3y e^{-\mathbf{i}q_2y - \mathbf{i}p_1y + \mathbf{i}p_2y} = \delta^3(q_2 - p_1 + p_2)} \langle p_2 | x \rangle$$

$$\operatorname{tr}\left(\mathcal{A}(q_{1})\left(p_{1}^{\prime}-m\right)^{-1}\mathcal{A}(q_{2})\left(p_{2}^{\prime}-m\right)^{-1}\right)$$

$$(7)$$

$$= \dots - \frac{1}{2} \int d^d q A_\mu(q) A_\nu(-q) \int d^d p \operatorname{tr} \left(\gamma^\mu \frac{1}{\not p - m} \gamma^\nu \frac{1}{\not p - \not q - m} \right)$$
(8)

which is the same as the diagrammatic calculation above.

4. A bit about the Schwinger model.

Consider a version of QED in D = 1 + 1:

$$S[A,\psi] = \int d^2x \left(\sum_{\alpha=1}^{N_f} \bar{\psi}_\alpha \left(\mathbf{i} \not{D} - m_\alpha \right) \psi_\alpha - \frac{1}{4g^2} F_{\mu\nu} F^{\mu\nu} - \frac{\theta}{4\pi} \epsilon_{\mu\nu} F^{\mu\nu} \right)$$
(9)

where each ψ_{α} is a 2-component spinor, and $\not{D} = \gamma^{\mu} (\partial_{\mu} + \mathbf{i} A_{\mu})$. Use the twocomponent spinors from the problem above. (a) What are the mass dimensions of the coupling g?

Since A has dimensions of mass, we have 0 = -2[g] - 2 + 4 which says [g] = 1, g is a mass scale. What scale is it? This is the energy scale below which we expect the Coulomb force to confine charge.

The model with $N_f = 1$ was solved by Schwinger in 1962. It is a model of confinement of charge, essentially because, even classically, the Coulomb potential grows linearly in D = 1 + 1, just like a confining potential. When $\theta = \pi$, there is a line of first-order phase transitions as a function of m_1 , terminating at a critical point at $m_1 = m_{\rm cr} \approx 0.3335g$. (When $\theta = \pi$ things are less interesting.) We'll set $\theta = \pi$ for the whole problem.

If m_2 is very heavy, the model should reduce the $N_f = 1$ model at very low energies, and so should still have a critical point at some value of m_1 . However, we can expect that the critical value of m_1 will be modified by some dependence on m_2 that goes away when $m_2 \to \infty$.

Predict the shape of the critical curve $m_{1cr}(m_2)$ in the regime $m_2 \gg g$.

If I were feeling mean I would end the question here. Since I am feeling friendly, I will say a few more words. The heavy fermion with mass m_2 will contribute to the vacuum polarization for the gauge field.

(b) Compute the contribution to the vacuum polarization of the gauge field from the heavy fermion field Π^{μν}(q) in the limit m₂ ≫ g, as a function of m₂ and g, for q² ≪ m₂².

The calculation is not so different from the previous problem in that it involves exactly the same diagram, but with $D \rightarrow 2$.

$$\mathbf{i}\Pi^{\mu\nu}(q) = -(-\mathbf{i}g)^2 \mathbf{i}^2 \int d^D k \mathrm{tr}\gamma^\mu \frac{\not k + m_2}{k^2 - m_2^2} \gamma^\nu \frac{\not k + \not q + m_2}{(k+q)^2 - m_2^2}$$
(10)

$$= -g^{2} \int_{0}^{1} dx \int \mathrm{d}^{D} \ell \frac{N^{\mu\nu}(q)}{(\ell^{2} - \Delta)^{2}}$$
(11)

with $\Delta = m_2^2 - x(1-x)q^2$ and $\ell \equiv q + xk$, and the numerator is

$$N^{\mu\nu}(q)/\mathrm{tr}1 = 2\ell^{\mu}\ell^{\nu} - \eta^{\mu\nu}\ell^{2} - 2x(1-x)q^{\mu}q^{\nu} + \eta^{\mu\nu}\left(m^{2} + x(1-x)q^{2}\right) + \mathrm{terms\ linear\ in\ }\ell^{\mu}$$
(12)

just as in the lecture notes section 2.7.1, but adapted to be agnostic about how many spinor components tr1 we have.

It is actually a bit tricky to get out the factor of the transverse projector, which must be there by gauge invariance. If we naively set D = 2 too early,

we'll find that the coefficient of the ℓ^2 term is $\frac{2}{D} - 1$, and simply vanishes. However, it multiplies a divergence at D = 2! The result is then

$$\mathbf{i}\Pi^{\mu\nu}(q) = -\mathbf{i}g^{2}\mathrm{tr}1\int_{0}^{1}dx\int \mathrm{d}^{D}\ell_{E}\frac{\eta^{\mu\nu}\ell_{E}^{2}(1-2/D) + \eta^{\mu\nu}(m^{2}+x(1-x)q^{2}) - 2x(1-x)q^{\mu}q^{\nu}}{(\ell_{E}^{2}+\Delta)^{2}}$$
$$= -\mathbf{i}g^{2}\int_{0}^{1}\mathrm{tr}1\left(\eta^{\mu\nu}\frac{(1-2/D)\frac{D}{2}}{(4\pi)^{D/2}}\frac{\Gamma(2-D/2-1)}{\Gamma(2)}\left(\frac{1}{\Delta}\right)^{2-D/2-1}\right) \tag{13}$$

+
$$\left(\eta^{\mu\nu}(m^2 + x(1-x)q^2) - 2x(1-x)q^{\mu}q^{\mu}\right) \frac{1}{(4\pi)^{D/2}} \frac{\Gamma(2-D/2)}{\Gamma(2)} \left(\frac{1}{\Delta}\right)^{2-D/2}\right)$$
 (14)

Now $\Gamma(2) = \Gamma(1) = 1$, and $(1 - D/2)\Gamma(1 - D/2) = \Gamma(2 - D/2)$, so we can combine the two terms:

$$\mathbf{i}\Pi^{\mu\nu}(q) = -\mathbf{i}g^{2}\mathrm{tr} \mathbf{1} \int_{0}^{1} dx \frac{1}{(4\pi)^{D/2}} \frac{\Gamma(2-D/2)}{\Delta^{2-D/2}} \left(\eta^{\mu\nu}(-\Delta+m^{2}+x(1-x)q^{2})-2x(1-x)q^{\mu}q^{\nu}\right)$$
$$= \left(\eta^{\mu\nu}q^{2}-q^{\mu}q^{\nu}\right) \frac{(-\mathbf{i}g^{2})2\mathrm{tr}\mathbf{1}}{(4\pi)^{D/2}} \int_{0}^{1} dxx(1-x)\frac{\Gamma(2-D/2)}{\Delta^{2-D/2}}.$$
(15)

This is the right answer for any D (modulo the CS term in D = 3). Setting D = 2 and tr1 = 2 for two-component spinors (unlike Peskin), the end result is that $\Pi^{\mu\nu}(q) = (\eta^{\mu\nu}q^2 - q^{\mu}q^{\nu}) \Pi(q)$ with

$$\Pi(q) = -\frac{g^2}{\pi} \int_0^1 dx \frac{x(1-x)}{\Delta} \stackrel{q^2 \ll m_2^2}{\approx} -\frac{g^2}{6\pi m_2^2}.$$
 (16)

(c) Interpret your previous result as a correction to g, i.e. to the coefficient $\frac{1}{g_{\text{eff}}^2}$ of the Maxwell term.

The conclusion is that

$$\frac{1}{g_{\rm eff}^2} = \frac{1}{g^2} \left(1 + \frac{1}{6\pi} \frac{g^2}{m_2^2} \right).$$
(17)

(d) Using the known formula for the critical value of m in the $N_f = 1$ case, predict the critical curve as a function of m_2 .

Since the pure $N_f = 1$ model has a critical point at $m_c = 0.33g$, the lowenergy theory of the 2-flavor model with one heavy flavor of mass m_2 has a critical point at mass

$$m_{1c} = 0.33g_{\text{eff}} = 0.33g\left(1 - \frac{1}{12\pi}\frac{g^2}{m_2^2} + \mathcal{O}\left(\frac{g^4}{m_2^4}\right)\right).$$
 (18)

I got this problem from a talk I saw today at the KITP. The talk was based on the nice paper 2305.04437, as was my solution.